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| 5 | clebsock@hausfeldllp.com | | |
| 6 | Counsel for the Direct Purchaser Plaintiffs | | |
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| 9 | UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION | | |
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| 13 | IN RE: CRT ANTITRUST LITIGATION | Master File No. CV-07-5944-SC MDL No. 1917 | |
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| 15 | This Document Relates to: | <u>CLASS ACTION</u> | |
| 16 | ALL DIRECT PURCHASER CLASS ACTIONS | DECLARATION OF MICHAEL P. LEHMANN IN SUPPORT OF MOTION | |
| 17 | | FOR ATTORNEYS' FEES, REIMBURSEMENT OF EXPENSES, AND | |
| 18 | | INCENTIVE AWARDS | |
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I, Michael P. Lehmann, declare and state as follows:

- 1. I am a partner in the law firm of Hausfeld LLP ("HLLP"). I submit this declaration in support of Direct Purchaser Plaintiffs' ("DPP") joint application for an award of attorney fees in connection with the services rendered in this litigation. I make this Declaration based on my personal knowledge and if called as a witness, I could and would competently testify to the matters stated herein.
- 2. HLLP has served as one of the counsel for the class throughout the course of this litigation. The background and experience of HLLP and its attorneys are summarized in the *curriculum vitae* attached hereto as Exhibit 1.
- 3. HLLP has prosecuted this litigation solely on a contingent fee basis, and has been at risk that it would not receive any compensation for prosecuting claims against the defendants. In devoting its time and resources to this matter, it has foregone other legal work for which it would have been compensated.

During the pendency of the litigation, HLLP performed work thoughout all phases of the litigation, including the following work: (a) participation in evidentiary proffers and settlement discussions with defendant Chunghwa; (b) drafting of complaints, including a synthesis of facts from proffers for the consolidated amended complaint; (c) drafting oppositions to motions to dismiss and responses to appeals from the special master's dismissal ruling; (d) drafting of the motion for class certification and the reply brief in connection with that motion; (e) work with the DPPs' expert on class certification; (f) preparation for the depositions of and examination of witnesses from defendant Toshiba entities; (g) consulted on and attendance at settlement discussions and strategy sessions regarding settlements; (h) preparation and argument of motions to compel against Toshiba; (i) preparation of discovery and the conducting of meet and confer sessions with counsel for Toshiba regarding discovery responses and document production; (j) drafting of oppositions to and argument before the special master of the motion under Fed. R. Civ. P. 11 and drafting of the appeal from the special master's ruling and participation in strategy sessions regarding these matters; (k) reviewing Toshiba documents produced electronically and at

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various storage facilities; (1) drafting of the opposition to defendants' motion for summary judgment and appeal from the special master's ruling on that subject, and participation in strategy sessions regarding that subject; (m) research and drafting of various oppositions to certification of interlocutory appeals under 28 U.S.C. §1292(b); and (n) participation in settlement talks or settlement mediation sessions with various defendants.

- 5. Attached hereto as Exhibit 2 is a summary of HLLP's total hours and lodestar, computed at historical rates, from May 9, 2008 through July 31, 2014. This period reflects the time spent after the appointment of Lead Counsel in the litigation. The total number of hours spent by HLLP during this period of time was 2,254.75 with a corresponding lodestar of \$1,551,802.25. This summary was prepared from contemporaneous, daily time records regularly prepared and maintained by my firm. The lodestar amount reflected in Exhibit 2 is for work assigned by Lead Class Counsel, and was performed by professional staff at HLLP for the benefit of the DPP Class.
- 6. The hourly rates for the attorneys and professional support staff in my firm included in Exhibit 2 are the usual and customary hourly rates charged by HLLP.
- 7. HLLP expended a total of \$22,368.26 in unreimbursed costs and expenses in connection with the prosecution of this litigation. These costs and expenses are broken down in the chart attached hereto as Exhibit 3. They were incurred on behalf of DPPs by HLLP on a contingent basis, and have not been reimbursed. The expenses incurred in this action are reflected on the books and records of my firm. These books and records are prepared from expense vouchers, check records and other source materials and represent an accurate recordation of the expenses incurred.
- 8. HLLP has paid a total of \$50,000 in assessments for the joint prosecution of the litigation against the defendants.
- 9. I have reviewed the time and expenses reported by my firm in this case which are included in this declaration, and I affirm that they are true and accurate.

I declare under penalty of perjury under the laws of the United States of America that the

Case3:07-cv-05944-SC Document4055-9 Filed09/11/15 Page4 of 95

| 1 | foregoing is true and correct. Executed on August 25, 2015 at San Francisco, California. |
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| 2 | Michalf Affin |
| 3 | Michael P. Lehmann |
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EXHIBIT 1



HAUSFELD FIRM SUMMARY

Hausfeld ranks among the world's top claimants' firms. Renowned for our skillful prosecution of complex and class-action litigation, we represent individuals, businesses, and organizations—domestically and internationally—in the areas of antitrust/competition law, human and civil rights, mass torts, environmental threats, securities fraud, and consumer protection. For decades Hausfeld attorneys have vigorously advocated for aggrieved claimants, achieving noteworthy trial victories and settlements while contributing to the development of law in the United States and abroad. The firm has offices in Washington, D.C., New York, Philadelphia, San Francisco, Brussels and London.

Hausfeld was founded by Michael D. Hausfeld, who is widely recognized as one of the country's top civil litigators and a leading expert in the fields of private antitrust/competition enforcement and international human rights. The New York Times has described Mr. Hausfeld as one of the nation's "most prominent antitrust lawyers" while Washingtonian Magazine characterizes him as a lawyer who is "determined to change the world—and succeeding," noting that he "consistently brings in the biggest judgments in the history of law."

Under Mr. Hausfeld's leadership, Hausfeld attorneys have studied the recent global integration of markets—and responded with innovative legal theories and a creative approach to claims in new and emerging markets.

LEADERSHIP IN LANDMARK LITIGATION

Courts have appointed Hausfeld and its attorneys as lead class counsel, co-lead class counsel, to the executive committee or steering committee in over 30 class-action antitrust, consumer protection, mass-tort, and sports and entertainment cases, with leadership positions in numerous other cases:

Antitrust

- In re Air Cargo Shipping Services Antitrust Litig., No. 06-md-1775 (E.D.N.Y.)
- In re Automotive Aftermarket Lighting Products Antitrust Litig., No. 09-ML-2007-GW (PJW) (C.D. Cal.)
- In re Blue Cross Blue Shield Antitrust Litig., No. 13-mdl-2496 (N.D. Ala.)
- Bruce Foods Corp. v. SK Foods, LP, No. 2:09-cv-00027-MCE-EFB (E.D. Cal.)
- In re Cathode Ray Tube (CRT) Antitrust Litig., No. 3:07-cv-5944-SC (N.D. Cal.)
- In re Chocolate Confectionary Antitrust Litig., No. 08-mdl-1935 (M.D. Pa.)
- In re Foreign Exchange Benchmark Rates Antitrust Litigation, 13 Civ. 07789 (LGS) (S.D.N.Y.)



- In re Espar Antitrust Litig., No. 15-mc-940-JG-JO (E.D.N.Y.)
- In re Fresh and Process Potatoes Antitrust Litig., No. 4:10-MD-2186-BLW (D. Id.) (Chair of Executive Committee)
- In re International Air Passenger Surcharge Antitrust Litig., No. M:06-md-01793 (N.D. Cal.)
- Jabo's Pharmacy, Inc. v. King Pharmaceuticals, Inc., No. 31, 973 (Cir. Ct. Cocke. Cty., TN)
- In re Korean Ramen Antitrust Litig., No. C-13-04115-WHO (N.D. Cal.)
- In re LIBOR-Based Financial Instruments Antitrust Litig., No. 11-MD-2262 (S.D.N.Y.)
- *In re Municipal Derivatives Antitrust Litig.*, No. 08-cv-2516 (S.D.N.Y.)
- In re New Jersey Tax Sales Certificates Antitrust Litig., No. 12-1893 (D.N.J.)
- In re NCAA Student-Athlete Name & Likeness Licensing Litig., No. C09-1967 CW (N.D. Cal.)
- *In re Processed Egg Products Antitrust Litig.*, No. 2:08-cv-04653 (E.D. Pa.)
- *In re Rail Freight Fuel Surcharge Antitrust Litig.*, No. 1:07-mc-00489-PLF-JMF (D.D.C.)
- In re Transpacific Passenger Air Transport Antitrust Litig., No. 3:07-cv-05634 (N.D. Cal.)
- In re Vitamin C Antitrust Litig., No. 1:06-md-01738-DGT-JO (E.D.N.Y.)
- In re Vitamins Antitrust Litig., No. 1:99-md-01285 (D.D.C.)

Consumer Protection

- In re: Target Corporation Customer Data Security Breach Litigation, MDL No. 14-2522 (PAM/JJK) (D. Minn.) (Financial Institutions Cases Steering Committee)
- *In re Monsanto Company Genetically-Engineered Wheat Litig.*, No. 2:13-md-02473-KHV-KMH (D. Kan.) (Chair of the Co-Leads)
- *In re Honey Transshipping Litigation*, 1:13-cv-02905 (N.D. III.)
- U.S. Hotel and Resort Management, Inc. et al v. Onity Inc., 0:13-cv-01499-SRN-FLN (D. Minn.)
- Radosti, et al. v. Envision EMI, LLC, No. 1:09-CV-00887-CKK (D.D.C.)
- Ross v. Trex Co., Inc., No. 5:09-CV-00670 (N.D. Cal.)
- In re Sony PS3 "Other OS" Litig., No. CV-10-1811-RS (N.D. Cal.)
- In re Tyson Foods, Inc., Chicken Raised without Antibiotics Consumer Litig., No. 1:08-md-01982-RDB (D. Md.)
- Wolph v. Acer America Corp., No. CV-09-01314-VRW (N.D. Cal.)

Mass Tort

- In re Chinese-Manufactured Drywall Products Liability Litig., MDL No. 2047 (E.D. La.) (Of Counsel to Plaintiffs' Steering Committee)
- In re National Football League Players' Concussion Injury Litig., No. 2:12-md-02323-AB (E.D. Pa.) (Plaintiffs' Executive Committee and Liaison Counsel)



- *In re Prempro Products Liability Litigation*, No. 4:03-cv-01507-WRW (E.D. Ark.) (Plaintiffs' Steering Committee)
- In re Stryker Rejuvenate And ABG II Hip Implant Products Liability Litigation, MDL No. 13-2441 (D. Minn.) (Plaintiffs' Steering Committee)

Financial Services

- In re Commodity Exchange, Inc. Silver Futures and Options Trading Litig., No. 1:11-md-02213-RPP (S.D.N.Y.)
- MTB Investment Partners LP v. Siemens Hearing Instruments Inc., No. 2:12-cv-00340
 (D. N. J.)

Sports & Entertainment

- Dryer v. Nat'l Football League, No. 0:09-cv-02182-PAM-AJB (D. Minn.)
- Eller v. Nat'l Football League, No. 11-CV-639 (D. Minn.) ("Eller I")
- Eller v. National Football League Players Assoc. et al., 11-CV-02623-SRN-JJG (D. Minn.) ("Eller II")
- James v. UMG Recordings, Inc., No. 11-CV-1613-SI (N.D. Cal.)
- Sister Sledge v. Warner Music Group, 3:12-cv-00559-RS (N.D. Cal.)

RECENT ACCOLADES AND ACHIEVEMENTS

• In July 2015, Hausfeld was ranked Antitrust – Nationwide, Civil Litigation/Class Actions, Band 1 and Antitrust – Nationwide, Cartels, Band 2 by *The Legal 500*. The publication writes that the team is known for:

"Representing large companies, small and medium-sized businesses, as well as individuals, Washington DC firm Hausfeld LLP remains 'top-notch' in antitrust litigation... Hausfeld LLP is 'one of the most capable plaintiffs' firms involved in the area of civil cartel enforcement', and is handling some of the major cartel-related cases..."

• In May 2015, Hausfeld was ranked Antitrust – Nationwide, Band 1 by *Chambers & Partners*. The publication writes that the team is known for:

"Market-leading plaintiffs' firm with considerable experience in antitrust class action suits and criminal cartel investigations. Has had numerous successes in the area resulting in major recovery or settlements for its clients...Michael Hausfeld is "a very successful and able antitrust litigator" and "one of the titans of the Plaintiffs Bar," according to interviewees. He regularly acts for



individual opt-outs and plaintiff classes on a range of antitrust matters including allegations of price-fixing and monopolization."

• In May 2015, Hausfeld was ranked Band 1 for Competition Law: Private Enforcement: Claimant by *Chambers & Partners*. The publication writes that the team is known for:

"Market-leading practice. Paved the way for follow-on damages litigation in the UK. Represents claimants in the most significant follow-on and standalone damages actions... Anthony Maton is a widely respected litigator who is at the forefront of damages litigation in the UK. Representing claimants in damages actions is at the core of his practice and he is recognised as being a driving force in the development of this area of law. Sources describe him as "an expert who stands out from the crowd."

- In April 2015, Hausfeld Attorneys Michael Hausfeld, Michael Lehmann, Sathya Gosselin, Hilary Scherrer, Bruce Wecker and Swathi Bojedla were awarded *Global Competition Review*'s "Litigation of the Year Non-Cartel Prosecution," which recognized their trial victory in *O'Bannon v. National Collegiate Athletics Association*.
- In April 2015, Hausfeld Partners Michael Hausfeld, Michael Lehmann and Sathya Gosselin, joined by co-authors Gordon Rausser and Gareth Macartney, were elected the winners of the *Concurrences* 2015 Antitrust Writing Awards in the Best Academic Article- Private Enforcement Category. Hausfeld Partner Edward Coulson, was also recognized for his work on the *National Grid* follow-on litigation, which won the award for "Litigation of the Year Cartel Prosecution" at GCR's annual awards.
- In April 2015, *Super Lawyers* recognized thirteen Hausfeld attorneys as among the top in the nation. Michael Hausfeld, Brian Ratner, Walter Kelley, Jr., and Megan Jones were recognized as Super Lawyers in Washington, DC, Michael Lehmann and Boney Sweeney were recognized as Super Lawyers in California, Brent Landau was recognized as a Super Lawyer in Pennsylvania, Melinda Coolidge, Timothy Kearns, Nathaniel Giddings and Kristen Ward Broz were recognized as Rising Stars in Washington, DC, and Jeannine Kenney was recognized as a Rising Star in Pennsylvania.
- In April 2015, *Law360* named Hausfeld Partners Reena A. Gambhir and Melinda R. Coolidge as "Rising Stars." Chosen from a pool of 1,200 lawyers, *Law360* selected Ms. Gambhir and Ms. Coolidge for their career accomplishments in the class action arena.
- In March 2015, Hausfeld was named to the *National Law Journal*'s "Plaintiffs Hot List" for the Fourth Year in a Row. The *National Law Journal* reports that



"Hausfeld's creative approaches underpinned key antitrust wins last year, including a trailblazing victory for former college athletes over the use of their likenesses in television broadcasts and video games..." also noting that Hausfeld along with its co-counsel, "nailed down a \$99.5 million settlement with JPMorgan Chase & Co. in January in New York federal court for alleged manipulation of market benchmarks. And it helped land nearly \$440 million in settlements last year, and more than \$900 million thus far, in multidistrict antitrust litigation against air cargo companies."

- In February 2015, Hausfeld Partner Megan Jones, was selected as Sponsorship Co-Chair of The Club (Silicon Valley), an organization dedicated to accelerating women's leadership skills and increasing the number of women in leadership positions in Silicon Valley.
- In January 2015, *Washington Business Journal* named Reena Gambhir to its list of honorees for the 2015 Minority Business Leader Awards. The award recognizes leaders that embody entrepreneurial drive, creativity and success in business.
- In December 2014, *The National Law Journal* named Michael Hausfeld to its inaugural list of Litigation Trailblazers & Pioneers. *The National Law Journal* noted:

"The 'Litigation Trailblazers & Pioneers' list recognizes those professionals who have acted as *real agents of change* in their field, elevating - and in some cases, redefining - the ways in which litigation is pursued, cases are defended or trials are handled."

• In December 2014, *Law360* named Michael Hausfeld a Competition MVP. *Law360* writes that Hausfeld is:

"Michael Hausfeld scored a *game-changing victory* against the National Collegiate Athletic Association in an antitrust case, forcing the league to let universities pay student athletes and earning him a spot on Law360's list of Competition MVPs...convincing a California federal district judge with little knowledge of college sports that the NCAA's ban on compensating student athletes for the use of their names, images and likenesses violated federal antitrust law was *no simple feat*."

• In December 2014, *Who's Who Legal* named three Hausfeld partners, Michael Hausfeld, Megan Jones, and James Pizzirusso its list of Experts in Competition Law – U.S. Plaintiff. Honorees were selected based on comprehensive, independent survey responses received from general counsel and



private practitioners worldwide. Notably, Jones was one of just four female attorneys to receive this recognition.

• In October 2014, *The Legal 500* named Hausfeld to the Top Tier for Competition Litigation in London. *The Legal 500* writes that Hausfeld is:

"Widely recognised as a market leader for claimant-side competition litigation, Hausfeld...provides 'innovative full-service solutions'. It is the 'market leader in terms of quantity of cases, and also the most advanced in terms of tactical thinking'.

- In September 2014, Hausfeld Partner, William Butterfield, was named a winning Washington Power Player for 2014, by *Washington SmartCEO*. William Butterfield was specifically lauded for "his e-discovery expertise" and his "congeniality."
- In September 2014, *The National Law Journal* recognized Hausfeld Partner, Reena Gambhir, as a DC Rising Star for 2014, in its list of the top 40 attorneys under 40 whose legal accomplishments belie their age.
- In September 2014, *The National Law Journal* named Hausfeld as one of a select group of America's Elite Trial Lawyers, as determined by "big victories in complex cases that have a wide impact on the law and legal business." The award notes that:

Hausfeld is among those "doing the most creative and substantial work on the plaintiffs side."

- In August 2014, *Law360* ranked Hausfeld's *O'Bannon v. NCAA* trial team among its "Legal Lions," citing the trial victory against the NCAA.
- In August, 2014, AmLaw named Chairman Michael Hausfeld, Litigator of the Week in the wake of complete bench trial victory against the NCAA in *O'Bannon v. NCAA*.
- In June 2014, *The Legal 500* named Hausfeld to the Top Tier of firms representing plaintiffs in antitrust matters for the sixth year in a row. Hausfeld attorneys, Michael Hausfeld, Megan Jones and Brian Ratner were individually names as Leading Lawyers as well, more than any other firm. *The Legal 500* states that:

"Washington DC firm Hausfeld is a 'market transformer', and the 'most innovative firm with respect to antitrust damages'. 'Driven by excellence', the team 'anticipates the evolving needs of clients' and delivers 'outstanding advice not only in legal terms but also with a true



entrepreneurial touch'...The 'incredibly impressive' Michael Hausfeld and Brian Ratner are 'highly skilled negotiators and litigators, and real fighters with an outstanding strategic sense'. Megan Jones is also recommended."

- In May 2014, *Chambers & Partners* ranked Hausfeld in the top tier, Band 1, for Antitrust Plaintiffs Firms. Michael Hausfeld was also ranked in Band 1 for Antitrust lawyers, and William Butterfield was ranked in Band 3 for Litigation lawyers.
- In April 2014, *Law360* named Sathya Gosselin to its "Rising Stars for 2014" list, in the Class Action category.
- In April 2014, *Super Lawyers* selected six Hausfeld attorneys as among the top in the nation. The *Super Lawyer* designation recognizes the top 5% of attorneys in the U.S. and the *Super Lawyers' Rising Star* designation recognizes the top 2.5% of attorneys in the U.S. under the age of forty, as chosen by their peers and through independent research within their practice area.
- For the third year in a row, *The National Law Journal* named Hausfeld as part of the esteemed "Plaintiffs' Hot List" in 2014. The editorial summarized the firm by stating:
 - "Michael Hausfeld's self-titled law firm has stacked up the successes since its start in 2008. From June 2012 to July 2013, it had a hand in more than \$1 billion in verdicts and settlements, more than all three previous years combined, coming largely from two cases: antitrust litigation involving the air cargo industry and a high-profile football concussions case."
- In early of October 2013, Hausfeld won the *Financial Times* Innovative Lawyer Dispute Resolution Award. The FT states that Hausfeld has:
 - "Pioneered a unique and market-changing litigation funding structure that improved accessibility and enabled victims to pursue actions with little or no risk."
- In 2013, *The Legal 500* named Hausfeld as one of the top three law firms in the U.S. in plaintiffs' antitrust representation. Hausfeld attorneys, Michael Hausfeld, Megan Jones and Brian Ratner were also named to the "Leading Lawyers" list, garnering three of just ten honors nationwide.
- In May 2013, *Law360* named Brent Landau to its "Rising Stars" list in the Competition category.



- In October 2012, Hausfeld was named by the *National Law Journal* in its prestigious "Plaintiffs' Hot List" as one of the premier plaintiffs' firms in the country. The editorial highlighted the Air Cargo litigation stating:
 - "Michael Hausfeld's law firm helped to oversee the extraction of an additional \$200 million during the last 12 months from air carriers accused of a massive conspiracy to fix prices for hauling air cargo, bringing the total take from 17 settlements during the past three years to \$485 million."
- The Legal 500, May 2012, placed Hausfeld in Tier 1 for the antitrust category of Mass Tort and Class Action Plaintiffs Representation. The publication also listed Michael Hausfeld, Megan Jones and Brian Ratner as "Leading Lawyers" stating that:
 - "Hausfeld has 'a deep and strong knowledge of the antitrust practice', and is recognized as 'among the very top' firms in the space. Its 13-partner group is based in Washington DC, San Francisco, Philadelphia and also internationally in London. Recent highlights include acting as one of four co-lead counsel for the class in the cartel case, In re Air Cargo Antitrust Shipping Litigation, and securing \$241m in related settlements during 2011 to add to the \$128m secured in 2010, and \$85m in 2009..."
- Lawdragon named Michael Hausfeld as one of the 500 Leading Lawyers in America for 2011, 2012 and 2013.
- In February 2012, The Global Competition Review cited Hausfeld as "...one of—if not the—top antitrust plaintiffs' firms in the US." They also recognized that, "The team's sister practice in London, Hausfeld & Co, has been at the forefront of private antitrust litigation in Europe."
- Law360 selected three partners, Megan Jones, Brian Ratner, and James Pizzirusso as "Rising Stars" in 2012.
- From 2011 to 2013 Benchmark Plaintiff Guide to America's Leading Plaintiff Firms and Attorneys identified Hausfeld as one of the top "Tier 1" Antitrust firms in the country, naming several Hausfeld partners as "Antitrust Litigation Stars"—more than any other firm. Further, the Guide listed Hausfeld as one of the "Highly Recommended" Plaintiff firms in Washington, DC, naming four of its partners as "Local Litigation Stars" as well.
- The US *Legal 500* publication identified Hausfeld as one of the top three antitrust plaintiffs' firms nationwide in 2011:



"Led by the renowned Michael Hausfeld, Hausfeld has rapidly established itself at the plaintiff's bar since its inception in 2008, bringing to bear its 'crucial experience of the tactics which may be employed by both sides in litigation."

STERLING REPRESENTATION

Given Hausfeld's depth of experienced lawyers, history, profile, and recent successes, the firm is widely considered to be a leader in the antitrust bar. Hausfeld lawyers have an exemplary record of:

- Leading or participating in the world's most significant plaintiffs' private antitrustenforcement actions:
- Cutting-edge innovation in private antitrust enforcement in the US and abroad; and
- Building one of the largest and most talented plaintiffs' private antitrust enforcement teams in the US, the UK, and Europe.

As international cartels invade the marketplace—and global enforcement bodies struggle to keep up—Hausfeld is able to provide unparalleled legal advice and superior representation for claimants anywhere on the planet. Hausfeld's unique position and skill sets are frequently acknowledged by leading defense firms as well, who commend Hausfeld attorneys (in public and in private) while seeking global "peace" for their cartelist clients.

LEGAL INNOVATION

Hausfeld lawyers have hosted, lectured at, and participated in numerous conferences throughout six continents. Among the topics addressed have been the pursuit of damages actions in the US and the EU on behalf of EU and other non-US plaintiffs; private civil enforcement of EU competition laws; the Supreme Court decision in *Empagran*; the principle of international comity; monopolization; and emerging issues in electronic discovery. Hausfeld attorneys have presented before regulators, judges, business leaders, in-house counsel, private lawyers, public-interest advocates, and institutional investors. They have also written extensively on these subjects and many others, and they have led key competition-policy debates around the world.

INDIVIDUAL REPRESENTATION HIGHLIGHTS

FINRA Arbitration



Hausfeld attorneys recently represented a public technology company, recovering millions of dollars that its investment advisor had invested in auction rate securities (ARS).

The client's investment advisor was aware that with any auction rate security, there exists the possibility that there will not be a sufficient number of buyers to match the number of auction rate securities that holders want to sell in any given auction. If this happens, the auction "fails" and the holders will not be able to sell their auction rate securities at par value. In 2007, the ARS market failed when major financial institutions stopped supporting auctions.

On behalf of its client, Hausfeld attorneys commenced an arbitration proceeding with the Financial Industry Regulatory Authority in November 2009. Discovery established that the investment advisor was clearly on notice of the vulnerability of the ARS market prior to the auction failures. Despite this awareness, it breached fiduciary obligations to the client to protect the liquidity of the investment portfolio. The matter was resolved in February 2011 through a settlement that restored liquidity to the client's investment portfolio.

Hausfeld was able to successfully and privately resolve this matter for its client in less than twenty-four months with very little disruption to the client's business operations.

COMPETITION MATTERS

Hausfeld is a leading global firm in competition matters. The firm combines its U.S. offices on both coasts and vibrant European presence with a broad and deep network around the globe to offer clients the ability to seek redress or confront disputes in every corner of the world and across every industry. Hausfeld lawyers have achieved many precedent-setting legal decisions and settlements on behalf of clients involved in competition cases worldwide. They have negotiated some of the world's most complex settlement agreements and have collectively recovered or obtained judgments in the billions of dollars on behalf of clients.

Hausfeld lawyers have a consistent track record of:

- Successfully litigating and resolving the world's most significant plaintiffs' private competition/antitrust enforcement actions, recovering billions of dollars for their clients and businesses we have been appointed by Courts to represent;
- Building a talented team of professionals representing one of the largest plaintiffs' private competition/antitrust enforcement teams in the U.S.;



• Working cooperatively and efficiently with Hausfeld UK partners to offer a coordinated approach to their clients' global claims.

Highlights:

- Hausfeld serves as co-lead counsel on behalf of parties that engaged in foreign exchange ("FX") transactions with the world's largest banks and their subsidiaries. The lawsuit alleges that these banks colluded to manipulate FX benchmark rates the WM/Reuters rates at the expense of their counterparties. These rates have significant influence in the \$5.3 trillion-a-day FX market and are based on actual trades in the FX market. As of May 2015, Hausfeld has announced settlements with four defendants, pushing plaintiffs' recovery to more than \$800 million. In addition to monetary compensation, all four banks have agreed to cooperate with investors in their continuing litigation against the eight remaining defendants.
- Hausfeld serves as co-lead counsel on behalf of thousands of former Division I men's basketball and football players that allege that the NCAA, its members, and its commercial partners violated federal antitrust law by unlawfully foreclosing former players from receiving any compensation related to the use of their images and likenesses in television broadcasts, rebroadcasts, footage clips, and video games. In September 2013, the plaintiffs announced a settlement agreement with defendants Electronic Arts, Inc. and Collegiate Licensing Company. At the conclusion of a three-week bench trial in June 2014, the Court determined that the NCAA had violated the antitrust laws and issued a permanent injunction as requested by the plaintiffs. Law360 dubbed the Hausfeld-led trial team as "Legal Lions," citing this historic victory over the NCAA.
- Hausfeld represented direct purchaser plaintiffs in the first antitrust case in the U.S. against Chinese manufacturers. The plaintiffs allege that Chinese pharmaceutical companies conspired to fix prices and control export output of Vitamin C from December 1, 2001 to the present. In 2013, over the course of a three week jury trial, Hausfeld lawyers and their co-counsel, on behalf of class plaintiffs, presented evidence that Chinese vitamin C manufacturers had met and agreed to fix the price and limit the supply of vitamin C sold to distributors in the United States. The manufacturers presented an affirmative defense of foreign sovereign compulsion, attempting to persuade the jury that the Chinese government had compelled them to meet and reach these agreements. Just before closing arguments, defendants Weisheng Pharmaceutical and China Pharmaceutical Group agreed to settle, and to pay class members \$22.5 million. Days later, the jury reached a verdict against the remaining defendants, rejecting their foreign sovereign compulsion defense, and finding that the class had suffered damages of \$54.1 million as a result of defendants' actions over the course of the conspiracy. Judge Cogan entered a judgment for \$153.3 million, trebling these damages under the Sherman Act (and subtracting the amount already paid in settlements with other defendants). Notably, these results were achieved strictly by lawyers in the private bar; no case was brought by the U.S. Department of Justice. And the settlements reached in this case were the first civil settlements with Chinese companies in a U.S. antitrust cartel case. As China's economic clout expands exponentially, the results of this case have wide implications in the global



marketplace.

- In March 2009, the firm finalized a historic global-settlement agreement with Parker ITR concerning the company's involvement in an international marine hose cartel. The settlement agreement was the first private resolution of a company's global-cartel liability without any arbitration, mediation, or litigation. It thus signaled opportunities never before possible for dispute resolution, and it provides a new model for global-cartel settlements going forward. Major oil company purchasers and other significant marine-hose purchasers have signed or agreed to sign the settlement agreement.
- Hausfeld is court-appointed lead counsel (with special responsibility for stewardship of non-US claims) in the *Air Cargo Antitrust Litigation* on behalf of air-freight customers opposing a group of international airlines who allegedly fixed prices on air-freight shipping. This case has already resulted in settlements worth over \$1 billion. Brent Landau is one of the lead settlement negotiators for the claimants.
- Hausfeld was court-appointed co-lead counsel in the *Air Passenger Antitrust Litigation* representing thousands of air travelers worldwide opposing British Airways and Virgin Atlantic Airways for allegedly fixing prices of air-passenger transportation from the UK to all long-haul destinations in the world (as well as the opposite routes). Hausfeld lawyers secured in this action the first recovery for foreign citizens based on foreign antitrust law in a US antitrust case. European citizens and businesses have benefited significantly from this settlement, which provided equal compensation for domestic and foreign air passengers.
- Hausfeld lawyers also successfully litigated and settled foreign claims in *Kruman v*. *Christie's International PLC. et al.*, marking the first time that non-US claimants received, as a class, compensation for violation of competition laws (the fixing of auction commissions)—a milestone in both US antitrust jurisprudence and European recovery.

NON-COMPETITION MATTERS

In addition to their cutting-edge work in the competition and antitrust fields, Hausfeld lawyers have been at the forefront of leading human rights, civil rights, environmental, mass tort, consumer, and other complex matters litigated in the United States and abroad. Richard Lewis, for example, is presently lead counsel in an international environmental and human rights case involving drinking water contamination in Bhopal, India. Mr. Lewis is also a member of the Plaintiff's Steering Committee in the federal Hormone Replacement Therapy ("HRT") mass-tort litigation as well as the Chinese-Manufactured Drywall litigation and on the executive committee for the NFL concussions injury litigation.

Highlights:

Holocaust Litigation



In the historic Swiss banking litigation, Michael Hausfeld served, pro bono, as co-lead counsel for Holocaust survivors against the Swiss banks that collaborated with the Nazi regime during World War II by laundering stolen funds, jewelry, and art treasures. Michael Hausfeld obtained a \$1.25 billion settlement. *In re Holocaust Victim Assets Litig.*, No. CV 96-4849 (ERK) (MDG) (E.D.N.Y.). He was also a lead counsel in litigation by survivors of World War II-era forced and slave labor against the German companies that profited from the labor of concentration camp inmates. This litigation, which resulted in an unprecedented settlement of \$5.2 billion for approximately two million claimants, was resolved through multinational negotiations that included both defendants and plaintiffs' counsel, and the governments of several countries.

• In re Chinese-Manufactured Drywall Products Liability Litig., MDL No. 2047 (E.D. La.).

Richard Lewis helped try the *Germano v. Taishan* (2010) property damages and remediation matter on behalf of seven Virginia homeowners, and the *Taishan* class remediation damages case (2015). In *Germano*, the Court ordered plenary relief for the homeowners in the amount of \$2.6 million and determined the standard for remediation of a damaged Chinese drywall home. In *Taishan*, the Plaintiff class seeks \$497M in remediation damages and is awaiting a decision from the Court. In both trials, Mr. Lewis was instrumental in the *Daubert* briefing and argument as well as the trial testimony of several experts, and was successful in excluding or limiting significant portions of the defense experts' opinions.

• In re The Exxon Valdez Litig., No. A89-095 Civ. (D. Alaska).

Michael Hausfeld was selected from dozens of attorneys around the country by federal and state judges in Alaska to serve as co-lead counsel for plaintiffs in the largest environmental case in United States history, which resulted in an initial jury verdict of more than \$5 billion.

• In re Diet Drug Litig. (Fen-Phen), MDL No. 1203 (E.D. Pa.).

As a member of the Plaintiffs' Management Committee and Sub-Class Counsel, Richard Lewis played a major part in the success of the Fen-Phen diet drug litigation and settlement. Mr. Lewis and other plaintiffs' counsel achieved one of the largest settlements ever obtained in a mass tort case—\$3.75 billion—on behalf of millions of U.S. consumers who used diet drugs that are associated with heart valve damage.

• In re StarLink Corn Products Liability Litig., MDL No. 1403. (N.D. Ill.).

Richard Lewis was co-lead counsel and successfully represented U.S. corn farmers in a national class action against Aventis CropScience USA Holding and Garst Seed Company, the manufacturer and primary distributor of StarLink corn seeds. StarLink is a genetically modified corn variety that the United States government permitted for sale as animal feed and for industrial purposes but never approved for



human consumption. Yet StarLink was found in corn products sold in grocery stores across the country and was traced to widespread contamination of the U.S. commodity corn supply. The settlement, which provided more than \$110 million for U.S. corn farmers, was the first successful resolution of tort claims brought by farmers against the manufacturers of genetically modified seeds.

• Roberts v. Texaco, Inc., 94-Civ. 2015 (S.D.N.Y.).

Michael Hausfeld represented a class of African-American employees in this landmark litigation that resulted in what was at that time the largest race-discrimination settlement in history (\$176 million in cash, salary increases, and equitable relief).

• The Southern Farmers Association v. Shell (Christ Church, Barbados, W.I.)

James Pizzirusso successfully represented dozens of farmers and landowners in Barbados whose crop lands and properties were contaminated as a result of Shell's negligence in allowing jet fuel to leak from underground pipelines. This was one of the first mass environmental settlements of its kind in Barbados.

INDEX

Annex 1 of this resume is a list of quotes from journalists and publications concerning the work of Hausfeld attorneys, as well as awards and recognitions. Annex 2 provides individual profiles of Hausfeld attorneys. Annex 3 contains information about the firm's London and Brussels affiliates and attorneys. Annex 4 is a list of publications by Hausfeld attorneys. Finally, Annex 5 provides contact information for the firm's various offices.



ANNEX 1

Quotes from Journalists and Publications Concerning the Work of Hausfeld Attorneys

- "Hausfeld LLP is 'one of the most capable plaintiffs' firms involved in the area of civil cartel enforcement', and is handling some of the major cartel-related cases."

 -The Legal 500, 2015
- ""Michael Hausfeld is one of the best-known and most-feared plaintiff's lawyers in America. The chairman of 46-lawyer Hausfeld, he has racked up billions in settlements for cases involving antitrust and human rights violations."
- The Litigation Daily, 2015
- "Hausfeld's creative approaches underpinned key antitrust wins last year, including a trailblazing victory for former college athletes over the use of their likenesses in television broadcasts and video games..."

 -National Law Journal, 2015
- "Representing large companies, small and medium-sized businesses, as well as individuals, Washington DC firm Hausfeld LLP remains 'top-notch' in antitrust litigation."
- *-The Legal 500*, 2015
- "Michael Hausfeld scored a game-changing victory against the National Collegiate Athletic Association in an antitrust case, forcing the league to let universities pay student athletes and earning him a spot on Law360's list of Competition MVPs...convincing a California federal district judge with little knowledge of college sports that the NCAA's ban on compensating student athletes for the use of their names, images and likenesses violated federal antitrust law was no simple feat."

 -Law360, 2015
- "Washington DC firm Hausfeld, is a 'market transformer', and the 'most innovative firm with respect to antitrust damages.' 'Driven by excellence', the team 'anticipates the evolving needs of clients and delivers outstanding advice not only in legal terms but with a true entrepreneurial touch."'
 -The Legal 500, 2014
- "Hausfeld is 'among those doing the most creative and substantial work on the plaintiffs side." The National Law Journal, 2014
- "Widely recognised as a market leader for claimant-side competition litigation, Hausfeld & Co LLP provides 'innovative full-service solutions'. It is the 'market leader in terms of quantity of cases, and also the most advanced in terms of tactical thinking'. Anthony Maton has 'done a fine job managing the firm and building its client base and pool of attorneys'.
- -The Legal 500, 2014

HAUSFELD

PAGE 16

- "[Michael] Hausfeld became 'renowned for his creativity."
- -Law360, 2014
- "Anthony Maton at Hausfeld & Co has been quietly building a formidable team at the class action specialist..."
- Legal Business, 2013
- "Hausfeld LLP has 'a deep and strong knowledge of the antitrust practice', and is recognized as 'among the very top' firms in the space."
- The Legal 500, 2013
- "...leading the way in anti-competitive class actions and, in the face of stiff competition, is building a practice to envy in [London]."
- The Lawyer, 2012
- "Michael Hausfeld's law firm helped to oversee the extraction of an additional \$200 million during the past 12 months from air carriers accused of a massive conspiracy to fix prices for hauling cargo, bringing the total take from 17 settlements during the past three years to \$485 million."
- National Law Journal, Plaintiffs' Hot List, 2012
- "Hausfeld is an internationally respected law firm committed to applying innovative legal strategy in pursuit of redress for its clients. . . . Firm founder and chairman, Michael Hausfeld, is considered one of the nation's preeminent litigators. Over the course of his career he has handled some of the largest classaction cases in the realms of human rights, discrimination and antitrust."
- Benchmark Plaintiff Guide, 2012
- "Since opening the practice in 2008, Michael Hausfeld and the 20-lawyer team at Hausfeld have established themselves as one of if not the top plaintiffs' antitrust firms in the US...What's more, in the three years since it formed, Hausfeld has secured close to US\$700 million for its antitrust clients... The team's sister practice in London, Hausfeld & Co, has been at the forefront of private antitrust litigation in Europe."
- -Global Competition Review, 2012
- "With a well developed focus in the areas of antitrust, human and civil rights, mass torts, environmental threats, securities fraud and consumer protection, Hausfeld is rapidly growing into an international powerhouse."
- Benchmark Plaintiff Guide, 2011
- "Since its inception in 2008, 23-attorney Hausfeld has quickly positioned itself as a leader in antitrust and class action litigation...The firm claims recoveries worth \$300 million during the past 12 months."
- National Law Journal, Plaintiffs' Hot List, 2010



"The team's sister practice in London, Hausfeld & Co, has been at the forefront of private antitrust litigation in Europe." "One of the nation's preeminent antitrust class-action lawyers, [Michael] Hausfeld has been at the forefront of many historic and precedent-setting cases."

- Washingtonian Magazine, December 2009, "Thirty Stars of the Bar" feature

In 2009, *US Legal 500* described Michael Hausfeld as "an outstanding antitrust litigator." - *US Legal 500*, 2009

In 2008, *US Legal 500* discussed the work of Hausfeld lawyers, noting that the firm's attorneys are "involved in the first antitrust case in the US against Chinese manufacturers, in which the plaintiffs are alleging that major Chinese pharmaceutical companies conspired to fix prices and control export output of Vitamin C. The case raises thorny issues about the government's role in the defendants' pricing, and its output decisions."

US Legal 500 also discussed the firm's attorneys' involvement in a "nationwide class action brought by the State of Mississippi, the City of Chicago and Fairfax County, Virginia against 37 leading banks, insurance companies and brokers alleging widespread price-fixing and bid-rigging in the multi-billion dollar municipal derivatives industry dating back to 1992."

In conclusion, *US Legal 500* noted that the firm's attorneys' continue "to pick up instructions on some of the most significant cases around, both purely domestic and those with an international element. This impressive success, both nationally and away from home, prompts clients to confirm that the firm manages to get 'a high percentage of the overall work', and that the firm is 'recognized as one of the top firms.' "

- US Legal 500, 2008

"Hausfeld haunts errant companies ranging from managed healthcare providers to makers of genetically engineered foods and bulk vitamins."

- Lawdragon, January 2008

In 2007, *US Legal 500* noted that Hausfeld lawyers "have been particularly active in cases surrounding the aviation industry in recent times and [are], for instance, currently representing distribution company Niagara Frontier Distribution in class-action litigation pertaining to allegations that a group of major air cargo carriers conspired to inflate airfreight surcharges, a case that has already yielded an initial settlement in the region of \$80m. Lawyers at the firm are furthermore acting on behalf of Swedish furniture chain IKEA in a proposed class action suit involving similar claims. . . . Further recent highlights include the recovery of \$28.8m for a class of retailers in a monopolization suit against tape manufacturer 3M, and a lead role in litigation surrounding an alleged hydrogen peroxide cartel."

- "Wins for Valdez victims and Holocaust survivors built [Michael Hausfeld's] reputation."
- Lawdragon, March 2006



- "I want to mention on the record the extraordinary work of the Hausfeld firm in the preparation and the submission of this claim. Mr. Hausfeld in numerous other claims as well has exhibited the type of professionalism and skill that have made the Fund a success and my job that much easier. I am grateful to him for his zeal, competence and professionalism."
- Ken Feinberg, Special Master, 9/11 Victim's Compensation Fund.
- "Antitrust defense lawyers view Michael Hausfeld as among the top three or four antitrust litigators in the country on the plaintiffs' side. The reason: his ability to score multimillion-dollar recoveries from major corporations over alleged monopolistic and price-fixing conduct. Seen as "really a very, very aggressive" litigator, Hausfeld is not one to shy away from a tough fight and has supplemented his antitrust focus with a broad range of cases focusing on civil rights and international human rights. He represented Holocaust survivors in their suits to get World War II-era assets back from European Banks."
- Lawdragon, October 2005
- "More importantly, the ingenuity here comes heavily from the lawyers on the plaintiff's side. It was they who spotted something others had missed based on an ambiguity in a 'foreign assistance' statute and ran with it, all the way to the Supreme Court. Indeed the amazing aspect of *F. Hoffman-LaRoche, Ltd. v. Empagran* is not so much the answers it provided but that some of the questions needed answering at all." David Samuels, from "Matter of the Year," *Global Competition Review*, Feb. 2005, in reference to the *Empagran* case.
- "Hausfeld could be sweetness and light one moment and anger and darkness the next. He was unpredictable and at times unreasonable. . . . But he was central to any successful negotiation because he had a keen sense of where the bottom line was."
- Stuart Eizenstat on the Holocaust cases, *The London Times*, Sept. 28, 2004.

The *Washingtonian* has listed Michael Hausfeld for the past several years as one of Washington's 75 best lawyers, proclaiming Michael Hausfeld to be "the country's best-known litigator of big lawsuits with hundreds of plaintiffs and multiple defendants."

Representative Awards and Recognitions

Recognized by *The Legal 500* in Antitrust Nationwide – Cartel Enforcement, 2015 Michael Hausfeld, Brent Landau, Melinda Coolidge, Megan Jones, Sathya Gosselin, Frederick Kuykendall III, Walter Kelley Jr.

Recognized by *The Legal 500* in Antitrust Nationwide – Civil Litigation/Class Actions, 2015 Michael Hausfeld, Hilary Scherrer, Megan Jones, Brent Landau, Brian Ratner, Sathya Gosselin, Frederick Kuykendall III, Walter Kelley Jr



Washington, DC Super Lawyer Super Lawyers, 2015 Michael Hausfeld, Walter D. Kelley, Jr., Megan Jones, Brian Ratner, Melinda Coolidge, Timothy Kearns, Nathaniel Giddings, Kristen Ward Broz

Pennsylvania, *Super Lawyer* Super Lawyers, 2015 Brent Landau, Jeannine Kenney

California, Super Lawyer Super Lawyers, 2015 Bonney Sweeney, Michael Lehmann

Minority Business Leader- 2015, Washington Business Journal Reena Gambhir

Law360's Rising Stars Under 40, 2015 Reena Gambhir

Law360's Rising Stars Under 40, 2015 Melinda Coolidge

"Women Worth Watching," *Diversity Journal 2015* Reena Gambhir

Top Tier for Competition Litigation in London, The Legal 500

Titan of the Plaintiffs Bar, *Law360* Michael Hausfeld

Washington Power Player for 2014, *Washington SmartCEO* William Butterfield

DC Rising Star for 2014 - Top 40 under 40, *The National Law Journal* Reena Gambhir

Elite Trial Lawyers, *The National Law Journal* Hausfeld



"Litigator of the Week," *AmLaw* Michael Hausfeld

"Legal Lions," Law360

Michael Hausfeld, Hilary Scherrer, Michael Lehman, Sathya Gosselin, Bruce Wecker, Swathi Bojedla

Top Tier of Firms Representing Plaintiffs in Antitrust, The Legal 500

Leading Lawyers in Antitrust, *The Legal 500* Michael Hausfeld, Brian Ratner, Megan Jones

The Legal Intelligencer, recognized in Top Awards and Settlements for 2013 for In re Eggs

Who's Who of Competition Lawyers & Economists 2014
Top US Competition Lawyers for Plaintiffs
Michael Hausfeld, Megan Jones, James Pizzirusso

Washington, DC Super Lawyer Super Lawyers Magazine, 2014 Michael Hausfeld, Megan Jones, Brian Ratner, Melinda Coolidge, Timothy Kearns, Nathaniel Giddings

Law360's Rising Stars Under 40, 2014 Sathya Gosselin

Member of the International Task Force Reena Gambhir (appointed for the 2014-2015 term)

Member of the International Cartel Task Force Michael Hausfeld (appointed for the 2014-2015 term)

"Women Worth Watching", *Diversity Journal 2013* Reena Gambhir

Law360's Rising Stars Under 40, 2013 Brent Landau

Law360's Rising Stars Under 40, 2012 Megan Jones, James Pizzirusso, and Brian Ratner,



Top Antitrust Lawyers
Washingtonian 2011-2012
Michael Hausfeld

Washington, DC's Best Lawyers 2012 American Lawyer Magazine Michael Hausfeld

Co-Chair of ABA Task Force on Civil Redress Michael Hausfeld (appointed for 2011-2012 and 2012-2013 terms)

Vice Chair of the ABA Antitrust Section's Food and Agriculture Committee (2013-2014 term) James J. Pizzirusso

Vice Chair of the ABA Antitrust Section's Trade, Sports, and Professional Associations Committee (2012-2013 term)
James J. Pizzirusso

Vice Chair of the ABA Antitrust Section's Communications and Digital Technology Industries Committee (2012-2013, 2013-2014 term)
Megan Jones

ABA Antitrust Section Delegate to the ABA's Special Committee on Section, Division and Forum Coordination (2012-2013 term)
Sathya Gosselin

Member of the ABA Antitrust Section's International Cartel Task Force (2012-2013 term) Reena Gambhir

Antitrust Litigation Stars

Benchmark Plaintiff Litigation Guide 2012 [add 2013]

Michael Hausfeld, Hilary Scherrer, Brian Ratner

DC Local Litigation Stars

Benchmark Plaintiff Litigation Guide 2012

Michael Hausfeld, William Butterfield, Hilary Scherrer, James Pizzirusso, Brian Ratner

Antitrust Litigation Stars

Benchmark Plaintiff Litigation Guide 2011

Michael Hausfeld, William Butterfield, Hilary Scherrer, James Pizzirusso



DC Local Litigation Stars

Benchmark Plaintiff Litigation Guide 2011

Michael Hausfeld, William Butterfield, Hilary Scherrer, James Pizzirusso

Washington, DC Super Lawyer Super Lawyers Magazine, 2012 Michael Hausfeld and Megan Jones

Northern California Super Lawyer Super Lawyers Magazine, 2012 Michael Lehmann

500 Leading Lawyers in America *Lawdragon*, May 2010 and 2012 Michael Hausfeld

"40 under 40" Legal Times, July 2009

Brian Ratner named one of the top Washington-area lawyers under forty years of age.

2009 Attorneys Who Matter

The Ethisphere Institute

Michael Hausfeld named in a short list of "attorneys who matter" in the field of corporate compliance.

2009 Chambers USA

Michael Hausfeld cited in category of Products Liability: Plaintiffs Fellow, Litigation Counsel of America.

Competition Law 360 Hilary Scherrer, Editor

Women Antitrust Plaintiffs Attorneys

A national industry organization founded by Megan E. Jones in 2008.

ABA Antitrust Section's Transition Taskforce

The taskforce, of which Michael Hausfeld was a member, advised the incoming Obama Administration

Legal Times Visionaries, May 2008

Michael Hausfeld listed among 30 "Visionaries" in the Washington legal community

50 Most Powerful People in DC *GQ Magazine*; September, 2007



Michael Hausfeld named #40.

Fierce Sister Award, Summer 2007 Michael Hausfeld recognized for his work on the Japanese Comfort Women case

500 Leading Plaintiffs' Lawyers in America *Lawdragon*, Winter 2007
Michael Hausfeld

International World-Shakers

The Lawyer (UK), February 8, 2007

Michael Hausfeld named as one of top 40 international lawyers "making waves" in the UK.

500 Leading Lawyers *Lawdragon*, Fall 2007 & Fall 2006 Michael Hausfeld

500 Leading Litigators Lawdragon, Spring 2006 Michael Hausfeld

100 Most Influential Lawyers

The National Law Journal, June 19, 2006

Michael Hausfeld named as one of "the most influential lawyers in America."

Runner up for Matter of the Year *Global Competition Review*, February 2005 Michael Hausfeld praised for ingenuity in how the *Empagran* case was prosecuted.



ANNEX 2 Members of the Firm

Michael D. Hausfeld

Michael D. Hausfeld, one of the country's top civil litigators, is the Chairman of Hausfeld.

His career has included some of the largest and most successful class actions in the fields of human rights, discrimination and antitrust law. He has an abiding interest in social reform cases and was among the first lawyers in the U.S. to assert that sexual harassment was a form of discrimination prohibited by Title VII; he successfully tried the first case establishing that principle. He represented Native Alaskans whose lives were affected by the 1989 Exxon Valdez oil spill. Later, he negotiated a then-historic \$176 million settlement from Texaco, Inc. in a racial-bias discrimination case. Most recently, in the landmark *O'Bannon v. NCAA* litigation, Michael represented a class of current and former Division I men's basketball and FBS football players against the NCAA and its member institutions, based on rules foreclosing athletes from receiving compensation for the use of their names, images, and likenesses. At the conclusion of a three-week bench trial, the Court determined that the NCAA had violated the antitrust laws and issued a permanent injunction as requested by the plaintiffs. Immediately following the decision, Michael was named AmLaw Litigation Daily's "Litigator of the Week," citing the "consensus among courtroom observers [was] that Michael Hausfeld...got the best of a parade of NCAA witnesses at trial." Law360 dubbed the trial team led by Michael as "Legal Lions," citing Hausfeld's historic victory over the NCAA.

In *Friedman v. Union Bank of Switzerland*, Mr. Hausfeld represented a class of Holocaust victims whose assets were wrongfully retained by private Swiss banks during and after World War II. The case raised novel issues of international banking law and international human rights law. In a separate case, he also successfully represented the Republic of Poland, the Czech Republic, the Republic of Belarus, the Republic of Ukraine and the Russian Federation on issues of slave and forced labor for both Jewish and non-Jewish victims of Nazi persecution. He currently represents Khulumani and other NGOs in a litigation involving the abuses under apartheid law in South Africa.

Mr. Hausfeld has a long record of successful litigation in the antitrust field, on behalf of individuals and classes, in cases involving monopolization, tie-ins, exclusive dealings and price fixing. He was a member of the ABA Antitrust Section's Transition Taskforce, which advised the incoming Obama Administration. Mr. Hausfeld is or has been co-lead counsel in antitrust cases against manufacturers of genetically engineered foods, managed healthcare companies, bulk vitamin manufacturers, technology companies and international industrial cartels. He is involved in ongoing investigations of antitrust cases abroad and pioneering efforts to enforce competition laws globally. He was the only private lawyer permitted to attend and represent the interests of consumers worldwide in the 2003 closed hearings by the EU Commission in the Microsoft case.

Mr. Hausfeld has been featured in many articles and surveys. The National Law Journal has



recognized him as one of the "Top 100 Influential Lawyers in America" and the *Legal Times* named Mr. Hausfeld among the top 30 "Visionaries" in the Washington legal community in 2008. *The New York Times* referred to Mr. Hausfeld as one of the nation's "most prominent antitrust lawyers," and in 2009 the *Washingtonian* named him one of thirty "Stars of the Bar." Most recently, the *Global Competition Review* stated that Hausfeld "is clearly recognized as one of the best plaintiffs firms in the country." In the past, the magazine has reported that he "consistently brings in the biggest judgments in the history of law" and that he is "a Washington lawyer determined to change the world -- and succeeding." Mr. Hausfeld is one of thirty negotiators profiled in *Done Deal: Insights from Interviews with the World's Best Negotiators*, by Michael Benoliel, Ed.D. He has been described by one of the country's leading civil rights columnists as an "extremely penetrating lawyer" and by a colleague (in a *Washington Post* article) as a lawyer who "has a very inventive mind when it comes to litigation. He thinks of things most lawyers don't because they have originality pounded out of them in law school." The US Legal 500 in 2008 stated, "The outstanding Mike Hausfeld is a titan of the antitrust bar."

Education

- Brooklyn College, B.A., cum laude, 1966
- National Law Center, The George Washington University, J.D., with honors, 1969

Bar Admissions

- District of Columbia
- New York

Affiliations & Honors

- Litigation Trailblazer & Pioneer, National Law Journal, 2014
- Competition MVP, Law360, 2014
- Titan of the Plaintiffs' Bar, Law360, 2014
- Band 1Lawyer: Plaintiffs' Antitrust, Chambers & Partners, 2014
- Washington, DC Super Lawyer, 2010-2014
- American Friends of Hebrew University, Torch of Learning Award, October 2012
- Named by The Legal 500 as a "Leading Lawyer" in 2011 and 2012
- Co-Chair ABA Civil Redress Task Force, 2011-2012 and 2012-2013 term years
- Member, Editorial Board Global Competition Litigation Review, 2011
- Member ABA International Cartel Task Force, 2010
- Named by *The Ethisphere Institute* in a short list of "attorneys who matter" in the field of corporate compliance, 2009
- Cited in 2009 *Chambers USA*, in the Products Liability category
- Named to SmartCEO Magazine Legal Elite 2009 List
- Named by Legal Times among 30 "Visionaries" in the Washington legal community, 2008
- Named by Legal Times Fierce Sister Award, for work on the Japanese Comfort Women case, 2007
- Cited by GQ magazine as one of "the 50 Most Powerful People in DC," 2007



- Named in *The Lawyer*'s 2007 "International World-shakers" list of 40 international lawyers "making waves" in the UK
- 100 Most Influential Lawyers, The National Law Journal, 2006
- Named repeatedly by Lawdragon magazine as one of the 500 leading lawyers in the United States
- U.S. Department of Energy Human Spirit Award presented "in tribute to a person who understands the obligation to seek truth and act on it is not the burden of some, but of all; it is universal."
- Plaintiffs Fellow, Litigation Counsel of America
- B'Nai Brith Humanitarian of the Year Award, 2002
- Simon Wiesenthal Center Award for Distinguished Service
- Adjunct Professor, George Washington University Law School, 1996-1998
- Taught in Georgetown University Law Center, 1980-1987

In the News

- "Gangster Bankers Too Big to Jail," Matt Taibbi, Rolling Stone Magazine, February 2013
- "UBS Mea Culpa May Give Libor Antitrust Plantiffs Uppder Hand," Max Stendahl, *Law 360*, December 2012
- "DOJ Hearalds 'Robust' UBS Deal; Gibson Dunn on Defense," Mike Scarcella, *The AmLaw Litigation Daily*, December 2012
- "Documents May Boost Civil Suits Revelations That Rate-Rigging Succeeded Could Prove Expensive to Banks Facing Litigation," Dana Cimilluca and Jean Eaglesham, Wall Street Journal, December 2012
- "Banks Facing New Wave of Mortgage Lawsuits," Forrest Jones, Moneynews, December 2012
- "Where There's a Will, There's a Way," *The American Lawyer*, March 2012
- "The Great Gamble," Global Competition Review, March 2012
- "The US Plaintiffs' Bar," Global Competition Review, March 2012
- Bloomberg Interviews Hausfeld on NCAA and Student Athlete Compensation, October 2011
- Washingtonian magazine names Mr. Hausfeld one of thirty "Stars of the Bar." December, 2009.
- *Bloomberg* quotes Hausfeld on muni derivatives investigation. November 2009.
- Business Week: "Europe Inc. takes aim at price-fixers." October 2009.
- Reuters: Hausfeld LLP filing suit on behalf of Baltimore and Mississippi municipalities. October 2009
- New York Times: "N.C.A.A. Sued Over Licensing Practices." July 21, 2009
- Associated Press: "NY Judge Rules in Favor of 1970s Apartheid Victims." April 8, 2009

Selected Publications

- "The Business of American Courts in Kiobel v. Royal Dutch Petroleum." By Michael Hausfeld and Kristen Ward. *Jurist Sidebar*, October 2012
- "Prosecuting Class Actions and Group Litigation." By Michael Hausfeld and Brian Ratner, et al., World Class Actions, Ch. 26., September 2012
- "Private Enforcement of Antitrust Law in the United States, A Handbook Chapter 4: Initiation of a Private Claim." By Michael Hausfeld and Brent Landau, et al., 2012



- "The Importance of Private Competition Enforcement in Europe." Michael D. Hausfeld. *Competition Law International*, Vol. 8, Issue 2, August 2012
- "The NFLPA's Potential Legal Liability to Former Players for Traumatic Brain Injury." Michael D. Hausfeld and Swathi Bojedla. *Hackney Publications: Concussion Litigation Reporter*, Vol. 1, No. 1, July 2012
- "CAT-astrophe: The Failure of "Follow-On" Actions." Michael D. Hausfeld, Brent W. Landau, Sathya S. Gosselin. American Bar Association's International Cartel Workshop, February 2012
- "The Novelty of *Wal-Mart v. Dukes*." Brian A. Ratner and Sathya S. Gosselin. *Business Torts & RICO News*, American Bar Association, Business Torts & Civil RICO Committee, Vol. 8, Issue 1, Fall 2011.
- "Private Enforcement in Competition Law: An Overview of Developments in Law and Practice in the US and Europe." Michael D. Hausfeld and Ingrid Gubbay, Bergamo University, July 2011
- "The Contingency Phobia Fear Without Foundation," *Global Competition Litigation Review*, Issue 1, January 2011
- "Initiation of a Private Claim," International Handbook on Private Enforcement, 2010
- "Competition Law Claims A Developing Story." The European Antitrust Review 2010
- "The United States Heightens Plaintiff's Burden of Proof on Class Certification: A Response." Global Competition Litigation Review, Volume 2 Issue 4/2009
- "Global Enforcement of Anticompetitive Conduct." The Sedona Conference Journal, Fall 2009
- "Observations from the Field: ACPERA's First Five Years." *The Sedona Conference Journal*, Fall 2009
- "Twombly, Iqbal and the Prisoner's Pleading Dilemma." Law360, October 22, 2009
- "The Value of ACPERA." Law360, June 2, 2009
- "Collective Redress for Competition Law Claimants." The European Antitrust Review 2008
- "Managing Multi-district Litigation." The Antitrust Review of the Americas 2008
- "A Victim's Culture." European Business Law Review, 2007

Selected Presentations

- Panelist Global Competition Review's GCR Live: 2nd Annual Antitrust Law Leaders Forum, "Developments and Status of International Cartel Settlements," February 2013
- Panelist and Presenter Golden State Antitrust Conference, "Sports and The Antitrust Playing Field", October 2012
- Presenter ABA Antitrust Section Mid-Winter Meeting: Civil Redress Task Force, January 2012
- Panelist Tilburg University, The Netherlands, "Paths to Mass Justice," December 2011
- Panelist Santa Clara University Second Annual Sports Law Symposium, Images Panel, September 2011
- Panelist New York State Bar Association 2011 Antitrust Law Section Symposium,
 "International Cartel Enforcement in the Digital Age: Collection and Use of Evidence Beyond Borders," January 2011
- Speaker Global Competition Review's Antitrust Leaders' Law Forum, "Practical Issues for Class Certification, Assigning Liability and Assessing Damages," February 2011
- Panelist ABA International Cartels Workshop, Paris, February 2010



- Moderator Global Justice Forum, San Francisco, October 2009
- Speaker ALI-ABA Teleconference Seminar, "HP Aftermath: New Restrictive Directives in Class Certification," April 2009
- Speaker ABA Antitrust Spring Meeting, Washington DC, "Judging Economic Analysis: Evidentiary Standards in Litigation Here and Abroad," March 2009
- Speaker George Washington University Private Enforcement of Competition Law: New Directions, "Need for Private Enforcement," February 2009

Michael P. Lehmann

Michael P. Lehmann, a partner at the firm has 32 years of experience as a business litigator. His practice ranges from class action and business litigation to extensive regulatory work before federal, state and international bodies, to domestic and international arbitration.

Prior to joining Hausfeld, Mr. Lehmann had worked since law school at what became Furth Lehmann LLP, where he eventually served as Managing Partner. He also was a partner at Cohen Milstein Hausfeld & Toll PLLC. In recent years he has served as lead counsel for direct and indirect purchaser classes in numerous antitrust cases.

Education

- A.B. 1974, University of California at Berkeley
- J.D. 1977, Hastings College of the Law

Bar Admissions

• California

Affiliations

American Bar Association

Awards and Recognition

- California Super Lawyer 2012, 2013
- Selected by U.S. News & World Reports, Best Lawyers 2013 in the category of Antitrust Law

Richard S. Lewis

Mr. Lewis has been appointed to serve as co-lead counsel in mass tort and product liability class action cases including *In re StarLink Corn Products* (N.D. Ill) (asserting claims by farmers for genetic



modification contamination of the U.S. corn supply) and *In re PPA* (asserting claims by users of unsafe over-the-counter medicines). He has also been appointed to the MDL Steering Committee in *In re Prempro Products Liability Litigation*, in *In re Chinese-Manufactured Drywall Products Liability Litigation*, and *In re Stryker Rejuvenate and ABG II Hip Implant Products Liability Litigation*. In 2010, Mr. Lewis was a member of the trial team that obtained a comprehensive remediation and property damages verdict for seven Virginia homeowners. In 2015, Mr.Lewis was on the trial team for the Taishan class remediation damages trial. Furthermore, in both proceedings Mr. Lewis handled various experts in the *Daubert* briefing and argument; and was successful in excluding or limiting significant portions of the defense experts' opinions.

In addition, Mr. Lewis served as lead counsel in numerous actions to obtain medical monitoring and property damage relief for communities exposed to toxic chemicals, unsafe working conditions, or unsafe drugs. These include the pending *NFL Concussion Injury Litigation*, *In re Diet Drug Litigation* (Fen-Phen), which resulted in a \$4 billion settlement providing medical monitoring in addition to individual personal injury awards, *Farnum v. Shell*, an oil spill pollution case in Barbados against international oil companies, that resulted in a settlement providing property damage compensation for 26 farmers and landowners, and *Harman v. Lipari*, a Superfund case that resulted in a settlement providing medical monitoring for thousands of residents who lived on or played near a landfill. He has litigated both individual and class childhood lead poisoning cases and is also handling environmental and workplace safety cases in India, and South Africa.

Education

- Tufts University, B.A., cum laude, 1976
- University of Michigan, M.P.H., 1981
- University of Pennsylvania, J.D., cum laude, 1986; Law Review comments editor

Bar Admissions

District of Columbia

Affiliations & Honors

- Law clerk, after law school, for the Honorable Stanley S. Brotman, U.S. District Court for the District of New Jersey
- National Finalist for the 2010 Lawdragon 500, an annual guide to the "500 Leading Lawyers in America"

William P. Butterfield

A partner at Hausfeld, Mr. Butterfield chairs the firm's Financial Services Practice Group. In his 33 years of legal practice, Mr. Butterfield has represented governmental agencies, brokerage firms,



corporations, directors and officers, attorneys and investors in private litigation over securities, commodities, antitrust and consumer claims, and in investigations commenced by the Securities and Exchange Commission. He has also defended clients in bankruptcy adversary proceedings and commercial litigation. Additionally, Mr. Butterfield serves as a leader in several legal think tanks, teaches law, and writes and speaks frequently on legal topics. Mr. Butterfield has a rating of AV® the highest rating available in Martindale-Hubbell's peer review rating system. He is an internationally recognized authority on electronic discovery.

Currently, Mr. Butterfield is counsel for the plaintiffs in *In re Air Cargo Shipping Services Antitrust Litigation*, MDL No. 1775 (E.D.N.Y.), which has resulted in approximately \$500 million in settlements to date. He was appointed by the Court to serve on the Plaintiffs' Steering Committee in *In re: Commodity Exchange, Inc., Silver Futures and Options Trading Litigation*, No. 11-MD-2213, (S.D.N.Y.), relating to an alleged conspiracy by JP Morgan Chase and other major investment banks to manipulate the price of silver futures and options contracts traded on the COMEX. Mr. Butterfield is working as one of the principal attorneys in *In Re LIBOR-Based Financial Instruments Antitrust Litigation*, MDL No. 2262, (S.D.N.Y.), where Hausfeld was appointed as co-lead counsel for over-the-counter plaintiffs. He is also involved in litigation regarding the foreign exchange practices of custodian banks, and alleged manipulation in the Oil and Rice futures markets.

Mr. Butterfield's past achievements include:

- Achieving settlements of over \$120 million in a lawsuit alleging output restrictions in the wood products industry (*In Re OSB Antitrust Litigation*, (E.D. Pa.));
- Achieving settlements of almost \$100 million in an antitrust price-fixing case involving the chemical industry (*In Re Hydrogen Peroxide Antitrust Litigation*, (E.D. Pa.));
- Acting as one of the principal attorneys involved in nationwide litigation challenging lending practices conducted by one of the nation's largest sub-prime lenders. In that case, Mr. Butterfield worked extensively with the FTC, and was responsible for bringing nationwide media and Congressional attention to lending practices conducted by Associates Finance. The plaintiffs and FTC eventually settled with Citigroup (which had acquired Associates Finance) for \$240 million (*In Re Citigroup Loan Cases*, J.C.C.P. 4197);
- Acting as one of the principal Plaintiffs' attorneys in *In re Prudential Securities Limited Partnerships Litigation*, MDL No. 1005 (S.D.N.Y.), which settled for \$137 million;
- Acting as one of the principal Plaintiffs' attorneys in *In re PaineWebber Securities Litigation*, 94 Civ. 8547 (S.D.N.Y.), which settled for \$200 million;
- Serving as outside counsel in the RTC's successful defense of a \$300 million arbitration dispute regarding the valuation of an acquired financial institutions investment and mortgage portfolio;
- Serving as outside counsel for the FDIC and RTC in numerous lawsuits and investigations to recover losses suffered by financial institutions due to securities, commodities and real estate fraud, director and officer misconduct and accounting malpractice;



 As outside counsel, representing political subdivisions in Texas, Ohio and California regarding securities matters.

Mr. Butterfield developed his interest in electronic discovery in the early 1990's when he helped design and implement an electronic document repository to manage more than 15 million pages of documents in a complex securities case. He has testified as an expert witness on e-discovery issues, and speaks frequently on that topic domestically and abroad. Mr. Butterfield is on the Steering Committee of The Sedona Conference® Working Group on Electronic Document Retention and Production, where he served as editor-in-chief of the *Case for Cooperation* (2009), and was a co-editor of *The Sedona Conference® Commentary On Preservation, Identification and Management of Sources of Information that are Not Reasonably Accessible* (2008). He is also a member of Sedona Conference® Working Group on International Electronic Information Management, Discovery and Disclosure. Mr. Butterfield is an adjunct professor at American University, Washington College of Law, where he teaches a course in electronic discovery. He also serves on the Masters Conference Advisory Board, and on the faculty of Georgetown University Law Center's Advanced E-Discovery Institute.

Mr. Butterfield began his legal career as an assistant prosecuting attorney for Montgomery County, Ohio.

Education

- University of Toledo, College of Law, J.D., 1978
- Bowling Green State University, B.A., 1975

Bar Admissions

- District of Columbia
- State of Ohio
- United States Court of Appeals for the Third Circuit
- United States District Court of Maryland
- United States District Court for the District of Columbia
- United States District Court, Eastern District of Michigan

Affiliations & Honors

- Local Litigation Star, Benchmark Plaintiff Litigation Guide, 2011 and 2012
- The Sedona Conference, Steering Committee on E-Discovery
- Adjunct Professor, American University, Washington College of Law
- Georgetown University Law Center's Advanced E-Discovery Institute, Faculty Member
- Federal Judicial Conference, E-Discovery Seminar for Federal Judges, Faculty Member
- Masters Conference Advisory Board, Member

Publications



- Pension Committee Revisited: One Year Later A Retrospective on the Impact of Judge Scheindlin's Influential Opinion (Legal Hold Pro, February 2011) (Edited by Brad Harris & Ronald Hedges)(Perspectives from Craig Ball, Kevin Brady, William Butterfield, Maura Grossman, John Jablonski, Ralph Losey, Browning Marean, Jonathan Redgrave, Denise Talbert, and Paul Weiner)
- Milberg LLP/Hausfeld LLP, *E Discovery Today: The Fault Lies Not In Our Rules*, 2011 Fed. Cts. L. Rev. 4 (2011)
- Contributor, Shira A. Sheindin et al., *Electronic Discovery and Digital Evidence: Cases and Materials (West 2009).*
- William P. Butterfield, Conor R. Crowley, Melinda R. Coolidge, "Diving Deeper to Catch Bigger Fish," DESI III Conference, June 8, 2009,
- William P. Butterfield, Editor-in-Chief, *The Case for Cooperation*, 10 Sedona Conf. Journal, 339-362 (2009 Supp.)
- Thomas Y. Allman, William P. Butterfield, et al., *Preservation, Management and Identification of Sources of Information that are Not Reasonably Accessible*, 10 Sedona Conf. Journal at 281-298 (2009)

Speeches and Presentations

- "Mass Tort Litigation Conference With Judge Marina Corodemus (Ret.)," Philadelphia, PA, June 4, 2012, Panelist
- "Four Perspectives on Preservation and Proportionality: The Judge, The GC, Plaintiffs' and Defense Counsel," New York City Bar Association, New York, NY, May 17, 2012, Panelist
- "Future of the Rules/New Developments," 8th Annual Georgetown Law Advanced E-Discovery Institute, Washington, D.C., November 18, 2011, Panelist
- "Discovery in a Virtual World: A Mock Meet and Confer in Federal Court," ARMA International Conference & Expo, Washington, D.C., October 17, 2011, Panelist
- "Preservation: Will This be the Next Change to the Federal Rules," The Masters Conference, Washington, D.C., October 3, 2011, Panel Moderator
- "Time for Change? Amending the Federal Rules of Civil Procedure," Kroll OnTrack, Inc., Webinar, September 28, 2011, Panelist
- "ESI Report Podcast Recording," Legal Talk Network, September 28, 2011, Speaker
- "The 34th Annual International ACM SIGIR Conference," Beijing, China, June 2011, Panelist
- "How I Would Attack Your ERM Program in Discovery and Trial," ARMA International Podcast, June 1, 2011, Speaker
- E-Discovery Seminar for Federal Judges, Charleston, SC, June, 2011, Faculty
- "A Talk with the Wolf Before He Gets into the Hen House: How I Would Attack Your Electronic Records Management Program in Discovery & Trial," The MER Conference, Chicago, IL, May 23, 2011, Speaker
- E-Discovery Reality Show: Surviving the Meet & Confer," LegalTech, New York, NY, January 31, 2011, Panelist
- "A Sanctions Odyssey," 7th Annual Georgetown Law Advanced E-Discovery Institute, Washington, D.C., November 19, 2010, Panelist



- "The Truth about Metadata," ESI Bytes Podcast, June 6, 2010, Panelist
- "Direction(s) From Duke: Are There e-Discovery Rules Changes Ahead?" 2010 Duke Judicial Conference, Fios Webcast, June 2, 2010
- "Duke University School of Law: 2010 Advisory Committee Conference on Civil Rules,"
 Panelist
- "12th Annual Sedona Conference on Complex Litigation," Del Mar, CA, April 2010, Conference Co-chair
- "Implementing and Using Joint Repositories," Fios Legaltech Luncheon, New York, NY, February 2, 2010, Panelist
- E-Discovery Seminar for Federal Judges, Washington, D.C., September, 2010, Faculty
- "Paper or Plastic: Is E-Discovery Optional?" Dayton Bench/Bar Conference, Dayton, OH, November 20, 2009, Speaker
- "Controlling E-Discovery Costs in Smaller Stakes Litigation," 6th Annual Georgetown Law Advanced E-Discovery Institute: Identifying Today's Problems & Tomorrow's Solutions, Washington, D.C., November 13, 2009, Panelist
- "The First Year of the Cooperation Proclamation," Sedona Conference Webinar, November 4, 2009, Co-Presenter
- "Risks, Rewards & Repositories: Addressing the Use of Joint Repositories in Discovery," Sedona Conference Webinar, October 21, 2009, Co-Presenter
- "Ethical Issues for Attorneys in Electronic Discovery," Master's Conference, Washington, D.C. October 14, 2009. Panelist
- "Practitioners' Panel," Federal Judicial Center/Georgetown University Law Center E-Discovery Seminar, Washington, D.C., September 10-11, 2009, Panelist
- "Commentary on Inactive Information Sources," Sedona Conference Webinar, August 19, 2009, Co-Presenter
- "Preservation, Legal Holds & Accessibility," Georgetown Law E-Discovery Training Academy, Washington, D.C., August 3, 2009, Panelist
- "Supporting Search and Sense making for Electronically Stored Information in Discovery Proceedings," DESI III: Third International Workshop, Barcelona, Spain, June 2009, Speaker
- "Third Annual Program on Getting Ahead of the E-Discovery Curve," Sedona Conference, Philadelphia, PA, March 2009, Faculty
- "Preservation, Management, and Identification of Sources of Information That Are Not Reasonably Accessible," Fios Legaltech Luncheon, New York, NY, February 2009, Speaker
- Georgetown Law Center Fifth Annual Advanced E-Discovery Institute, Washington, D.C., November 2008, Faculty
- "The Case for Cooperation in E Discovery," Sedona Conference, Palm Springs, CA, November 2008, Panelist
- "Mini Sedona Session," American Records Management Association Annual Meeting, Las Vegas, NV, October 2008, Panelist
- "Ethics & E-discovery: 'Reasonable Inquiry' in the Wake of *Qualcomm v. Broadcom*," ABA Section of Litigation and Center for CLE Teleconference and Live Audio Webcast, September 2008, Panelist



- Preservation, Management and Identification of Sources of Information that are Not Reasonably Accessible," Sedona Conference Webinar, September 2008, Co-Presenter
- "E-Discovery in Antitrust Lawsuits and Investigations," Strafford Legal Teleconference, September 2008, Panelist
- "Spoliation Sanctions," 2008 E-Discovery Training Program, sponsored by the Federal Judicial Center and Georgetown Law, Washington, D.C., June 2008, Panelist
- "E-Discovery in Antitrust Lawsuits and FTC/DOJ Investigation: Managing and Producing Electronic Information under the Amended Federal Rules," Strafford Legal Teleconference, February 2008, Panelist
- "Preservation Duties Regarding Inaccessible ESI," Sedona Conference, Hilton Head, SC, November 2007, Panelist
- "The Digital Pre-Trial," National College of District Attorneys, Columbia, SC, September 2007, Speaker
- "Inaccessible ESI Disclosure and Preservation Obligations," Sedona Conference, Phoenix, AZ, May 2007, Panelist
- "Plaintiffs' Bar Perspectives on New E-Discovery Rules," Legaltech Conference, New York, NY, January 2007, Panelist
- "Bench/Bar Introduction to Pending Electronic Discovery Amendments," Federal Bar Association Conference, Washington, D.C., October 2006, Panelist
- "Electronic Discovery in Criminal Cases," National District Attorney's Association Conference, Santa Fe, NM, August 2006, Speaker
- "Document Preservation and Spoliation," IQPC E Discovery Conference, Toronto, Canada, May 2006, Panelist
- "Electronic Discovery Amendments to the Federal Rules of Civil Procedure," Legaltech Conference, New York, NY, January 2006, Panelist
- "Class Certification Issues in Lending Liability Cases," John Marshall Law School Predatory Lending Conference, Chicago, IL, Speaker
- "Light Cigarette Litigation," Mealey's Tobacco Litigation Conference, Jacksonville, FL, Speaker
- "Investment Risk and Liability," Ohio Treasurers Association, Columbus, OH, Speaker
- "Governmental Liability and Immunity," Ohio State Bar Association Conference, Columbus, OH, Speaker
- "Administrative Due Process, Zoning and Planning," Ohio Township Association/American Planning Association Conference, Dayton, OH, Speaker

Expert Testimony

• *Ischemia Research and Education Foundation v. Pfizer, Inc.*, No. 1-04CV-026653, Cal. Superior Court, Santa Clara County. (Testified as expert witness regarding litigation hold and spoliation issues involving electronically stored information).

Legislative Testimony

• U.S. House of Representatives, Committee on the Judiciary, Subcommittee on the Constitution: regarding the costs and burdens of civil litigation, December 13, 2011



• U.S. Judicial Conference Committee on Rules of Practice and Procedure regarding proposed electronic discovery amendments to the Federal Rules of Civil Procedure (2005)

Christopher L. Lebsock

A partner at Hausfeld, Christopher L. Lebsock represents consumers and businesses in complex legal disputes. For example, he has litigated cases against antitrust violators in the technology sector. Mr. Lebsock has also represented foreign and domestic consumers and businesses in their efforts to recover from airlines that overcharged them for passenger air travel between the United States and Asia/Oceania.

These and other cases in which Mr. Lebsock is involved have a significant international aspect to them, and given the global nature of trade today, future antitrust and securities violations are likely to involve multiple markets around the globe. Mr. Lebsock is actively engaged in a truly global practice—he regularly consults with clients, trade associations, and law firms around the world about competition issues.

Domestically, Mr. Lebsock recently tried a case against Cessna Aircraft Company as a result of an aviation accident in Southern California that resulted in substantial confidential settlements with a number of defendants. In another recent case, Mr. Lebsock represented 119,000 hourly employees of Wal-Mart who were systematically deprived of their employment rights. Following a four month trial, the jury returned a verdict in favor of Mr. Lebsock's clients in the amount of \$172 million. This achievement stands as one of the most satisfying of his career, due primarily to the vast inequality in the employment relationship between Wal-Mart and its hourly employees. Notably the jury's award included \$115 million in punitive damages against Wal-Mart for the way it consciously and systematically disregarded the rights of its employees.

Mr. Lebsock is also a member of the firm's financial services group and represents investors in matters concerning United States securities laws. He recently represented a public company recover liquidity of its auction rate securities holdings from its investment advisor. Mr. Lebsock has also assisted foreign banking and insurance conglomerates recover losses from toxic investment products sold to them by a number of U.S. investment banks.

Mr. Lebsock has also represented a number of individuals, the California Teachers' Association and the National Education Association through litigation in the California Supreme Court, the Ninth Circuit Court of Appeals, and the United States Supreme Court on behalf of the right of gays and lesbians to marry.

Mr. Lebsock represents clients in the trial courts throughout the United States and on appeal, and in many cases consults with them on worldwide conflict resolution strategies.



Education

- University of Colorado, Boulder, B.A., 1993; Phi Beta Kappa
- University of California, Hastings College of the Law, J.D., 1996

Bar Admissions

- California Supreme Court
- Northern District of California
- Eastern District of California
- Central District of California
- Ninth Circuit Court of Appeals

Affiliations & Honors

- State Bar of California, Member
- American Bar Association, Member
- Hastings Constitutional Law Quarterly, former Senior Managing Editor

Publications

- "Evolving Class Certification Standards in Federal Courts," The Journal of State Bar of California Litigation Section, November 3, 2010;
- "Dismissal Standards Following Bell Atlantic v. Twombly A One-Year Retrospective," *The Antitrust Review of the Americas* (2009):
- "Pimco: Another Guidepost for Class Certification," Law 360 (23 September 2009)

Brian A. Ratner

Brian A. Ratner, a partner at the firm, is Head of the firm's International practice and Business Development Committee. He has extensive experience representing domestic and international businesses and individuals in complex litigation at the trial and appellate levels. He is particularly experienced in the prosecution of antitrust claims in United States and European courts, on behalf of direct and indirect purchasers alleging price-fixing and monopolization.

Mr. Ratner was recently honored by Law360 as one of its 2012 Rising Stars and was previously named to the Legal Times 2009 "40 under 40" list, which recognized rising legal stars expected to play a key role in the Greater Washington legal community for years to come. In 2012, The Legal 500, which provides comprehensive worldwide coverage on legal services and rankings, selected Mr. Ratner as one of the top 10 Leading Lawyers in the U.S. in plaintiffs' representation for antitrust, stating that "Brian Ratner 'thinks strategically, is an excellent negotiator and has a solid foundation of substantive law'." In 2011, the publication also recommended him as an "engaging, highly competent professional who can simplify complex antitrust matters and make them comprehensible to a non-antitrust attorney." Also in



2012, The Benchmark Plaintiff Guide to America's Leading Plaintiff's Firms and Attorneys named Mr. Ratner as one of the country's top "Antitrust Litigation Stars" and one of DC's "Local Litigation Stars."

Mr. Ratner has litigated the matter of *In Re Vitamins Antitrust Litigation (D.D.C.)* on behalf of two certified classes of vitamin direct purchasers who were overcharged as a result of a ten-year global price-fixing and market allocation conspiracy. The case settled for over \$1 billion. Mr. Ratner was a key member of a 2003 trial team in the case, in which a jury awarded a class of choline chloride purchasers more than \$148 million in trebled damages - the twelfth largest U.S. jury verdict in 2003. Mr. Ratner has also litigated, among other matters: *Empagran, S.A. et al. v. F. Hoffmann-LaRoche, Ltd., et al.* (D.D.C.), a case alleging a global vitamins price-fixing and market allocation conspiracy on behalf of foreign purchasers (remanded by U.S. Supreme Court); *Oncology & Radiation Associates v. Bristol-Myers Squibb Co.* (D.D.C.), a case alleging monopolization against a drug manufacturer, which settled for \$65 million; *Molecular Diagnostics Laboratories v. Hoffmann-La Roche, Inc., et al.* (D.D.C.), a case alleging unlawful monopolization on behalf of a class of purchasers of an enzyme used in DNA amplification, human-genome research, and medical diagnostics, which settled for \$33 million; and *In re Air Cargo Shipping Services Antitrust Litigation*(E.D.N.Y.),a case alleging a global conspiracy to fix the prices of air cargo shipments, which has resulted in over \$450 million in settlements to date.

Mr. Ratner's international work has included representing cartel victims from around the globe in settlement negotiations and European courts. He currently represents purchasers of paraffin wax, air freight services, car glass, carbon graphite, elevators, industrial bags, copper fittings and marine hose, who are seeking to recover losses in Europe as a result of price-fixing cartels. His work in the marine hose matter helped lead to a landmark private global settlement agreement with cartelistParker ITR. Mr. Ratner has also lectured, organized conferences, and published articles and papers on issues such as the private civil enforcement of competition laws and the mechanisms for collective redress around the world.

Education

- University of Indiana, Bloomington, B.A., 1996
- University of Pittsburgh School of Law, J.D., 1999; managing editor, *Journal of Law and Commerce*

Bar Admissions

- Pennsylvania
- New Jersev
- District of Columbia
- The United States Supreme Court
- Several federal courts

Honors and Publications

• "Jury Finds Chinese Vitamin C Makers Fixed Prices; \$153.3M Judgment Entered," Mealey's Legal News & Litigation Reports, March 14, 2013



- Co-Author, Michael Hausfeld and Brian Ratner, "Prosecuting Class Actions and Group Litigation

 Understanding the Rise of International Class and Collective Action Litigation and How this
 Leads to Classes that Span International Borders, World Class Actions, Chapter 26, September 2012.
- Hausfeld Named as Tier 1 Firm Nationwide in Plaintiff Antitrust Litigation by *The Legal 500*, June 2012
- "Hausfeld, Hausfeld, Horray," Legal Bisnow, April 6, 2012
- "Brian Ratner, People on the Move," Washington Business Journal, April 4, 2012
- Rising Star: Hausfeld's Brian Ratner, *Law360*, March 22, 2012
- "U.S. Class Action Lawyers Look Abroad," Reuters, March 20, 2012
- "Chinese Cos. Can't Shake Vitamin C Antitrust MDL", CompLaw 360, September 7, 2011
- "Analysis: Private antitrust lawsuits on the decline in U.S.", Reuters, June 13, 2011
- Hausfeld Named as Tier 1 Firm in Plaintiff Antitrust Litigation by *The Legal* 500, June 15, 2010
- Hausfeld Announces Distribution of Settlement Funds in TAQ Antitrust Case, November 19, 2009
- "Vitamins cartel action filed against Roche in Panama," CompLaw 360, September 11, 2009
- Named in the 2009 *Legal Times* "40 under 40" list recognizing rising legal stars in Washington, July 14, 2009
- "Private settlements could gain steam, lawyers say," CompLaw 360, March 12, 2009
- "Unprecedented Global Settlement Reached with Parker ITR in Marine Hose Cartel," *Class Action Litigation*, March 13, 2009
- Hausfeld announces first-ever private settlement of global cartel, March 6, 2009
- "Shell Model' Opens Door to European Class Actions," The American Lawyer, January 7, 2008
- Co-Author, "Principles and Objectives of Formal and Informal Settlements in EU Competition Cases: The Claimant's Perspective," paper submitted for the European University Institute's 13th Annual Competition Law & Policy Workshop, publication forthcoming
- Co-Author, "A Proposal for a Transitional Forum," submitted for Antitrust Claims Against Foreign Firms and Cartels conference (Law Seminars International), September 7-8, 2006

Presentations

- Speaker, National Symposium on Class Actions Recent Developments in Quebec, in Canada, in the United States, 2013
- Conference speaker, The Chicago Forum on International Antitrust Issues, Northwestern University School of Law, May 20, 2010
- Conference panel moderator, Cartels, Product Defects and Global Accountability conference, October 20, 2008
- Panelist, The International Cartel Workshop, ABA Section of Antitrust Law, San Francisco, January 30, 2008
- Conference panel moderator, Cartels, Product Defects and Global Accountability conferences, Seoul, Tokyo, and Beijing, October 2008
- Panelist, The International Cartel Workshop, ABA Section of Antitrust Law, San Francisco, January 30, 2008



- Conference speaker, Class Actions and Collective Redress: "Lessons Learn from the U.S. Experience," London, November 27, 2007
- Conference speaker, Class Actions for Non-Class Action Lawyers Growing Your Business by Understanding the Basics and Recognizing Opportunities, "Class Action Settlements: Procedures, Methods and Attorneys' Fees," Los Angeles, February 23, 2007
- Panelist, International Class Actions Conference, Melbourne, December 1-2, 2005

Megan E. Jones

Partner Megan Jones specializes in recovering damages for corporate victims of antitrust cartels. Recoveries from the cases she has been involved in total over half a billion dollars: *In re Polyester Staple Antitrust Litigation* (W.D.N.C) (\$63.5 million on behalf of the class); *In re Compact Disc Antitrust Litigation* (C.D.Ca.) (over \$50 million on behalf of class); *In re Rubber Chemicals Antitrust Litigation* (N.D. Ca.) (over \$100 million on behalf of class); *In re MMA Antitrust Litigation* (E.D. Pa.) (over \$20 million on behalf of class); *In re EPDM Antitrust Litigation* (D. Conn.) (\$81 million on behalf of the class). Ms. Jones was also involved in the negotiation of a \$300 million global settlement with Bayer (which resolved three cases: EPDM, Rubber Chemicals and NBR), and drafted the innovative settlement agreement itself.

In 2013, *Global Competition Review* named Ms. Jones as one of the 100 successful Women in Antitrust globally. She was one of just 23 U.S. Lawyers selected for this global honor and the only U.S. lawyer who exclusively focuses her practice on pursuing recovery for victims of cartels.

In 2012 and 2013, *The Legal 500*, which provides comprehensive worldwide coverage on legal services, selected Ms. Jones as one of the top 10 Leading Lawyers in the U.S. in plaintiffs' representation for antitrust. In 2011, the publication recommended Ms. Jones for having a "good understanding of business and operational environments."

In 2012, Ms. Jones was selected by Law360 as a "Rising Star" in the Competition category. Law360 selected just five attorneys in each category as top legal talent under 40 in the U.S. and internationally, whose accomplishments in major litigation or transactions belie their age. In the same year, she was also chosen by her peers as a Washington, DC *Super Lawyer* in Antitrust, reserved for those attorneys who have attained a high-degree of peer recognition and professional achievement.

In 2010, Ms. Jones was the only female antitrust attorney who had two of her cases featured in the *National Law Journal's* Plaintiffs' Hot List, which named the top twelve plaintiffs' law firms in the U.S.

Despite the fact that her typical cases include millions of pages of evidence, several multinational



parties, and a multitude of counsel, Ms. Jones is legendary for relentlessly focusing on the litigation endgame – to develop and find the facts necessary to win the case. For example, faced with over 1.5 million pages of documents produced by the opposing side, Ms. Jones organized her team to identify the 2300 key documents in the case in under four months' time. Ms. Jones then used these 2300 key documents to depose over 20 witnesses, uphold a class certification decision at the Circuit level, defeat summary judgment at the district court and prepare for trial (which ultimately settled successfully on the courthouse steps). Marshaling scores of co-counsel, Jones whittled a mountain of evidence down to what was needed to win – and then did.

Based on her experience, Ms. Jones has been asked to speak on antitrust matters around the world. She was asked to speak in Sydney, Australia at the American Bar Association's 2010 "Cross-Border Collaboration, Convergence and Conflict: The Internationalization of Domestic Law and Its Consequences" with other luminaries from the U.S. and Australian Bar, including Hon. Justice Antonin Scalia; Sir Anthony Mason AC KBE AC, Former Chief Justice of the High Court of Australia; The Honorable Jeffrey Bleich, U.S. Ambassador to Australia; Graeme Samuel, AO, Chairman of the Australian Competition and Consumer Commission; Tony D'Aloisio, Chairman of the Australian Securities and Investment Commission; and many others from the profession in Australia and the U.S. Ms. Jones also spoke at an event co-sponsored by the American Bar Association and German Bar Association in June 2011 in Munich, Germany ("Successfully Navigating Hazardous Waters: The Second Annual Conference on Transatlantic Deals and Disputes."). Ms. Jones has also spoken at what is widely known as the "crown jewel" of antitrust conferences, at the American Bar Association's Antitrust Masters Course.

Experienced with high dollar litigation and with a results-driven management style, it is little wonder that Ms. Jones was tapped to be Chairwoman of Hausfeld's New Case Committee at the firm, which is responsible for approving all new cases filed by the firm. Jones is skilled at evaluating potential cases, thoroughly vetting them for their strengths and weaknesses.

When electronic discovery came on the scene, Ms. Jones quickly adapted to the new paradigm of finding evidence. Jones is a member of The Sedona Conference®, which is composed of leading jurists, lawyers, experts, academics and others, at the cutting edge of issues in electronic discovery. She is the coauthor of *The Sedona Conference Glossary: E-Discovery and Digital Management* (2nd Ed). (Dec. 2007) and *Navigating the Vendor Proposal Process: Best Practices for the Selection of Electronic Discovery Vendors, and was recently selected to be on the drafting team of Discovery 2.0, the conference's next work on how discovery should be conducted. Ms. Jones was also featured in a podcast about cost-shifting in electronic discovery with the Honorable James C. Francis, IV, Magistrate Judge from the Southern District of New York, and Robert W. Trenchard, the chair of Wilmer Hale's E-Discovery Committee.*

Ms. Jones also knows that in litigation, experience is the best teacher. Faced with organizations that were not addressing issues from the cartel victims' perspective, she created an organization herself dedicated to antitrust practitioners exchanging best practices and information. She is the creator and



founder of *Women Antitrust Plaintiffs' Attorneys* (www.womenantitrustattorneys.com), a national organization for women who primarily practice cartel law on behalf of victims, attracting luminaries within the antitrust field to its annual conference. This conference has grown in popularity, and in 2009, representatives from over twenty law firms from across the U.S. attended. The growing impact of this organization is demonstrated by the caliber of speakers it is attracting: in addition to having four federal judges as speakers, the Keynote Address at the 2010 conference was given by Wendy H. Waszmer, Counsel to Assistant Attorney General Christine A. Varney from the U.S. Department of Justice, Antitrust Division.

Ms. Jones is recognized as a specialist in antitrust civil enforcement for victims of cartels, and has been asked to speak by many prestigious legal organizations on this topic.

Speeches and Presentations

- Speaker, Class Action Symposium, Georgetown Law Center, April 11, 2011
- Speaker, "The Life of an International Cartel: A Six-Part Practical Series, Part III: Follow-On Civil Price Fixing," American Bar Association teleconference, February 4, 2011
- Speaker, American Bar Association's Antitrust Masters Course V, September 30, 2010

Education

- North Carolina State University in Raleigh, NC, magna cum laude, B.A., 1995
- University of North Carolina at Chapel Hill School of Law, J.D., 1999

Bar Admissions

- District of Columbia
- Maryland
- North Carolina

Affiliations

- Vice Chair, ABA Antitrust Section's Communications and Digital Technology Industries Committee
- Founder of Women Antitrust Plaintiffs' Attorney network group, 2008

Publications

- "All I Really Need to Know About Antitrust Settlements, I Learned in Kindergarten," ABA Criminal & Cartel Practice Committee Newsletter, March 2011.
- "E Discovery Today: The Fault Lies Not In Our Rules . . . ,," paper presented at Duke University School of Law: 2010 Advisory Committee Conference on Civil Rules; publication pending in Federal Courts Law Review (FCLR)
- M. Jones joins Judge Francis and R. Trenchard (Wilmer Hale) in this podcast about the latest trends in cost-shifting in electronic discovery



- "Giving Electronic Discovery a Chance to Grow Up," The National Law Journal, December 15, 2009
- "Observations from the Field: ACPERA's First Five Years," The Sedona Conference Journal, Fall 2009
- CLE Speaker, "E-Discovery in Antitrust Lawsuits and FTC/DOJ Investigations: Managing and Producing Electronic Information Under the Amended Federal Rules," March 2009
- Antitrust Law Developments, 7th Edition, co-author of chapter on Non-Price Vertical Restraint, published by the American Bar Association, 2008
- Co-author of *The Sedona Conference Glossary: E-Discovery and Digital Information Management* (2nd edition), December 2007
- Co-author of Navigating the Vendor Proposal Process: Best Practices for the Selection of Electronic Discovery Vendors, published by The Sedona Conference
- Author of "Litigator 101," an ABA series regarding best practices in drafting discovery

Hilary K. Scherrer

Ms. Scherrer is a partner in Hausfeld's Washington, DC office. She has extensive experience representing businesses and individuals in antitrust, consumer fraud, and other complex litigation matters, at both the trial court and appellate court levels. She also has experience working on international arbitration and settlement matters.

She has been named one of the country's top "Antitrust Litigation Stars," one of the District of Columbia's "Local Litigation Stars," and one of the "Top 150 Women in Litigation" by Benchmark Plaintiff, a definitive guide to America's leading plaintiff-side litigation firms and attorneys. She has also been featured by The Plaintiffs' Hot List, an annual list of the nation's top plaintiff-side firms prepared by the National Law Journal.

Ms. Scherrer is currently involved in several high-profile antitrust cases. She is leading *In re NCAA Student-Athlete Name & Likeness Licensing Litigation* (N.D. Cal.), also known as the *O'Bannon* case, in which current and former student-athletes allege that the NCAA and others conspired to pay nothing for the use of athletes' names, images, and likenesses. The case, which has garnered significant press attention, is being viewed as a potential game-changer for college sports.

Ms. Scherrer is also leading *In re Libor-Based Financial Instruments Antitrust Litigation* (S.D.N.Y.), a class action lawsuit alleging a global conspiracy by some of the world's largest financial institutions to manipulate LIBOR. The manipulation of LIBOR, which is the primary benchmark for short-term interest rates for trillions of dollars worth of financial transactions worldwide, is alleged to have caused billions of dollars in damage to municipalities, businesses, and investors.

Ms. Scherrer's other current cases include In re Air Cargo Antitrust Litigation (E.D.N.Y.), a



massive class action alleging a global conspiracy to set fuel surcharges by over thirty defendant air carriers in which there are over \$485 million in settlements to date, and *In re Chocolate Confectionary Antitrust Litigation* (M.D. Pa.), a case alleging that the major chocolate manufacturers, Nestle, Mars, Cadbury and Hershey conspired to fix the prices of chocolate candy.

During her career, Ms. Scherrer has worked on other significant antitrust cases, including: In re Polyurethane Foam Antitrust Litigation (N.D. Ohio); In re Municipal Derivatives Antitrust Litigation (S.D.N.Y.); In re Publication Paper Antitrust Litigation (D. Conn.); In re Construction Flat Glass II Antitrust Litigation (W.D. Pa.); Animalfeeds International Corp. et al. v. Stolt-Nielsen SA (arbitration); In re Oriented Strand Board Antitrust Litigation (E.D. Pa.); In re International Air Transportation Surcharge Litigation (N.D. Cal.); Thomas & Thomas Rodmakers, Inc. v. Newport Adhesives and Composites, Inc. et al. (C.D. Cal.); and In re Microcrystalline Cellulose Antitrust Litigation (E.D. Pa.).

In addition to her work on antitrust cases, Ms. Scherrer was involved in *Schwab v. Philip Morris USA et al.* (E.D.N.Y.), in which the plaintiffs alleged a RICO conspiracy and fraud in connection with the marketing and sale of "light" cigarettes. She also represented Holocaust victims in a breach of contract case alleging certain German corporations failed to pay appropriate interest due on their payments to a reparations fund and Wal-Mart employees in wage and hour cases alleging that Wal-Mart failed to properly pay for the hours that employees worked.

Education

- University of Colorado, Boulder, B.A., 1996
- American University Washington College of Law, J.D., cum laude, 2000

Bar Admissions

- California
- District of Columbia

Affiliations & Honors

- Named one of the country's top "Antitrust Litigation Stars" by Benchmark Plaintiff
- Named one of the District of Columbia's "Local Litigation Stars" by Benchmark Plaintiff
- Named one of the "Top 150 Women in Litigation" by Benchmark Plaintiff
- Served on the Law360 Competition Editorial Advisory Board

James J. Pizzirusso

Mr. Pizzirusso is a founding partner in Hausfeld's Washington, DC office. He has a diverse practice centering on consumer protection and unfair business practices (chair of practice group), antitrust law, environmental torts, and sports and entertainment law with a specific emphasis on royalties and



copyright in the digital era. In addition to practicing law, Mr. Pizzirusso has served as a Visiting Professor at George Washington University Law School.

Courts have appointed Mr. Pizzirusso as Co-Lead Class Counsel in numerous successful class actions, including, for example: In re: Warner Music Group Corp. Digital Downloads Litigation, No. CV-12-0559-RS (N.D. Cal.) (\$11.5 million nationwide settlement); Wolph v. Acer America Corp., No. CV-09-01314-VRW (N.D. Cal.) (disputed class involving defective computers certified on a nationwide basis, \$22 million settlement later achieved); Radosti v. Envision EMI, LLC, No. 1:09-CV-00887-CCK (D.D.C.) (\$17.5 million nationwide settlement); In re Tyson Foods, Inc., Chicken Raised Without Antibiotics Consumer Litigation, C.A. 08-1982 (D. Md. May 11, 2010) (\$8 million nationwide settlement). He also serves as a court appointed co-lead counsel in several pending class cases, including: In re Monsanto Company Genetically-Engineered Wheat Litigation, 2:13-md-02473-KHV-KMH (D. Kansas) (chair of lead counsel group); U.S. Hotel and Resort Management, Inc. et al v. Onity Inc., 0:13cv-01499-SRN-FLN (D. Minn.); In re Honey Transshipping Litigation, 1:13-cv-02905 (N.D. Ill.); James v. UMG Recordings, Inc., No. 11-CV-1613-SI (N.D. Cal.); In re Sony PS3 "Other OS" Litig., No. CV-10-1811-RS (N.D. Cal.). He has also had a lead role on behalf of Hausfeld in several other class action cases including, for example, In re Processed Egg Products Antitrust Litig., No. 2:08-cv-04653 (E.D. Pa.) (over \$55 million in settlements); Dryer v. Nat'l Football League, No. 0:09-cv-02182-PAM-AJB (D. Minn.) (\$50 million settlement); In re New Jersey Tax Sales Certificates Antitrust Litig., No. 12-1893 (D.N.J.) (over \$8 million in settlements); Ross v. Trex Co., Inc., No. 5:09-CV-00670 (N.D. Cal.) (nationwide settlement); Pelletz v. Weyerhaeuser Company, No. C08-0334 JCC (W.D. Wash.) (nationwide settlement); and In re Fresh and Process Potatoes Antitrust Litig., No. 4:10-MD-2186-BLW (D. Id.).

In addition to his class action work, Mr. Pizzirusso is also currently defending John Ossenmacher, CEO of ReDigi, Inc. - the world's first and only online marketplace for pre-owned digital music, in copyright litigation filed by Capitol Records. He also successfully resolved the claims of dozens of farmers and landowners in Barbados who suffered reduced crop yields and property damages as a result of a several jet fuel spills.

The International Who's Who of Competition Lawyers & Economists named Mr. Pizzirusso as one of the leading US competition lawyers for plaintiffs in 2014. In 2012, Mr. Pizzirusso was named as one of four "Rising Stars under 40" by Law360 in Consumer Protection and Privacy law. Additionally, The Benchmark Plaintiff Guide to America's Leading Plaintiff's Firms and Attorneys recognized Mr. Pizzirusso as one of the country's top sixteen "Antitrust Litigation Stars" in 2012 and one of DC's "Local Litigation Stars" from 2012-2014. Mr. Pizzirusso has been asked to appear as a panelist at several conferences around the country and presented on topics including antitrust, consumer protection, toxic torts, and public interest litigation. Mr. Pizzirusso is also the author of several published papers.

Education

• University of Tennessee-Knoxville, B.A., summa cum laude, 1998



George Washington University Law School, with honors, 2001

Bar Admissions

- District of Columbia
- Virginia
- The Supreme Court of the United States
- The Fourth Circuit Court of Appeals
- The Sixth Circuit Court of Appeals
- The Ninth Circuit Court of Appeals
- Several Federal District Courts

Professional Affiliations

- Vice Chair, ABA Antitrust Section's Food and Agriculture Committee (2013-2014 ABA Year)
- Vice Chair, ABA Antitrust Section's Trade, Sports, Professional Associations Committee (2012-2013 ABA Year)
- Adjunct Professor, Environmental and Toxic Torts, George Washington University Law School, 2009
- Visiting Associate Professor of Clinical Law, Vaccine Injury Clinic, George Washington University Law School, 2007

Publications and Honors

- Who's Who of Competition Lawyers & Economists 2013 Named as leading US Competition Lawyer
- Benchmark Plaintiff Guide 2012 Named as "Top Antitrust Star"
- Benchmark Plaintiff Guide 2012-2014 Named as "Local Litigation Star"
- Co-author, "From the gold mine to the courtroom Toxic tort, silicosis and the largest class-action lawsuit in South African history," Westlaw Journal, Toxic Torts (Vol. 30, Issue 8/May 30, 2012)
- Mr. Pizzirusso's practice was recently profiled in Lawdragon's, "Lawyer Limelight," January 2012
- Author, "Liberalizing Rule 27 in the Twombly/Iqbal Era," Law 360 (November 11, 2009)
- Author, "Utilizing Novel Technologies to Sustain Trespass and Battery as Toxic Torts," *The Environmental Litigator* (Spring, 2008)
- Author, "Agency Rule-Making Power and the Clean Air Act: Putting the Brakes on American Trucking," Spring 2001 Term: Whitman v. American Trucking Associations, Inc., 7 Environmental Law 729 (June, 2001)
- Author, "Increased Risk, Fear of Disease and Medical Monitoring Are Novel Damage Claims Enough to Overcome Causation Difficulties in Toxic Torts?" 7 Environmental Law 183 (September, 2000)

Presentations



- "Non-Traditional Approaches to Class Certification," Cambridge Forums, April 2014, San Diego, CA
- "Transshipping and Neonics Prospects for Litigation on Behalf of Honey Producers," North American Beekeeping Conference, January 2014, Baton Rouge, LA
- "Ascertainability in Class Actions," Consumer Class Action Roundtable Institutional Investor Educational Foundation (IIEF), November 2013, Chicago, IL
- "Plaintiff's Class Action Forum Class Action Settlements," Cambridge Forums, April 2013, Boca Raton, FL
- "A Bumper Crop of Attacks on Capper Volstead," Antitrust Law 2013 Spring Meeting, American Bar Association, April 2013, Washington, DC
- "Ongoing Football Litigation," Independent Retired Football Players Summit & Conference, May 2012, Las Vegas, NV
- "Plaintiff's Class Action Forum Nationwide Certification of Class Actions; Managing Class Actions," Cambridge Forums, April 2012, Santa Barbara, CA
- "Toxic Trespass and Other New and Emerging Toxic Torts," ABA Committees Joint CLE Seminar, January, 2008, Snowmass, CO
- "Strategies for Pursuing Litigation Remedies," Northeast Regional Conference on Eliminating Childhood Lead Poisoning, November, 2006, Providence, RI
- "Outlook for Litigation," North Central Regional Conference on Eliminating Childhood Lead Poisoning, September, 2006, Chicago, IL
- "Developing Novel Theories of Recovery in Toxic Tort Litigation," Contamination Examination: A Workshop on the Changing Face of Chemical Contamination Litigation, ABA CLE Program -September 2006, Wilmington, DE
- "Consumer Protection Law," D.C. Bar Animal Law Conference, American University Washington College of Law April, 2004, Washington, DC
- "Public Interest Tort Litigation: Using Private Tort Actions to Further Environmental Justice & Public Ends," The Public Interest Environmental Law Conference, University of Oregon School of Law - March, 2003, Eugene, OR

Brent W. Landau

Mr. Landau is a partner in Hausfeld's Philadelphia office. His practice focuses on representing plaintiffs in complex antitrust and consumer protection litigation. He has litigated claims of price-fixing and monopolization involving products and industries as varied as vitamins, microprocessors, transparent tape, medical devices, and stock car racing. In other cases, his clients have included consumers defrauded by manufacturers of "light" cigarettes and Indonesian villagers subjected to human rights abuses. The *Legal 500* has recommended him as "very professional and personable." In March 2013, *Law360* selected Mr. Landau as one of their "Rising Stars Under 40" placing him among only five of the "up and coming competition attorneys" recognized in the Competition/Antitrust category.



Mr. Landau graduated from the State University of New York at Binghamton, where he received a B.A. in History and Philosophy (*summa cum laude*, 1998) and was a member of Phi Beta Kappa. He obtained his law degree from Harvard Law School (*cum laude*, 2001), where he was co-chairperson of the Tenant Advocacy Project and a supervising editor of the *Harvard Journal on Legislation*.

After law school, Mr. Landau served as a judicial law clerk to the Honorable Bruce W. Kauffman, United States District Court for the Eastern District of Pennsylvania. He then worked for six years at Cohen, Milstein, Hausfeld & Toll, P.L.L.C. before joining Hausfeld.

Mr. Landau has been invited to speak on panels regarding the antitrust laws and other topics and is the author of several published articles. He is a member of the editorial board of *The Antitrust Practitioner*, an editor of *Antitrust Law Developments*, and a mediator in the Philadelphia Court of Common Pleas Landlord-Tenant Appellate Mediation Program.

Currently, among other matters, Mr. Landau is counsel for the plaintiffs in *In re Air Cargo Shipping Services Antitrust Litigation*, MDL No. 1775 (E.D.N.Y.), which has resulted in approximately \$485 million in settlements to date.

Education

- State University of New York at Binghamton, B.A., summa cum laude, 1998;
- Harvard Law School, J.D., cum laude, 2001

Bar Admissions

- Pennsylvania
- New York
- District of Columbia
- United States District Court for the District of Columbia
- United States District Court for the Eastern District of Pennsylvania
- United States Court of Appeals for the Second Circuit
- United States Court of Appeals for the Ninth Circuit

Affiliations & Honors

- Named as one of Law360's Rising Stars Under 40, Competition category (2013)
- Judicial law clerk to Honorable Bruce W. Kauffman, United States District Court for the Eastern District of Pennsylvania (2001-2002)
- Member, Editorial Board, The Antitrust Practitioner
- Editor, Antitrust Law Developments
- Mediator, Philadelphia Court of Common Pleas Landlord-Tenant Appellate Mediation Program
- Recommended Lawyer, Legal 500 (2010)

Publications



- "Has *Hydrogen Peroxide* Really Made Antitrust Class Certification More Difficult?," *The Antitrust Practitioner* (2010)
- CNNMoney.com Quotes Brent Landau on FTC Suit Against Intel, December 16, 2009
- "Sovereign Immunity and You: How New York State Employees Can Enforce Their Federal Employment Rights," United University Professions Working Paper Series (2005)
- "State Employees and Sovereign Immunity: Alternatives and Strategies for Enforcing Federal Employment Laws," 39 *Harv. J. on Legis.* 169 (2002)
- "State Bans on City Gun Lawsuits," 37 Harv. J. on Legis. 623 (2000)

Presentations

- ABA Section of Antitrust Law, Types of Restraints that Violate Section 1 (2010)
- United University Professions, *Preserving the Rights of Public Employees* (2005)

Arthur N. Bailey, Jr.

Mr. Bailey is a partner at the firm who has worked on multidistrict antitrust matters including *In re TFT-LCD (Flat Panel) Antitrust Litigation*, MDL 1827 (N.D. Cal.), alleging a conspiracy by manufacturers to fix prices of TFT-LCD panels used in products such as computer monitors, televisions, and cell phones; *In re Rail Freight Fuel Surcharge Antitrust Litigation* (D.D.C.), alleging price fixing of rail freight fuel surcharges by the nation's dominant freight-shipping railroads, and *In re Aftermarket Automotive Lighting Products Litigation*, MDL 2007 (C.D. Cal.), a case alleging a conspiracy by manufacturers to fix prices of aftermarket auto lights and lamps.

Other cases Mr. Bailey has worked on include *Bruce Foods Corporation vs. SK Foods, LP. et al.*, (E.D. Cal.), alleging a conspiracy by processors to fix prices of processed tomato products, and *In re Student-Athlete Name & Likeness Licensing Litigation* (N.D. Cal.) a case alleging antitrust violations by the NCAA and its member schools involving the commercial use of the likenesses of its student-athletes.

Mr. Bailey is also the author of an amicus curiae brief filed on behalf of the California Teachers Association in the Proposition 8 same-sex marriage case pending before the Ninth Circuit Court of Appeals.

Prior to joining the firm, Mr. Bailey was an attorney at Cohen, Milstein, Hausfeld & Toll, PLLC, as a member of its antitrust practice group. He also was employed at Kaplan Fox & Kilsheimer LLP where he worked on antitrust, securities fraud and consumer fraud class action cases.

Education

- Wooster College, BA., 1984
- University of Tulsa, J.D., 1999



Bar Admissions

- California
- U.S. District Court for Northern California
- U.S. District Court for Eastern California
- U.S. District Court for Central California

Reena A. Gambhir

Ms. Gambhir has broad and substantial experience representing U.S. and global businesses and individuals in complex litigation in U.S., European and other courts. As a partner in Hausfeld's Washington, D.C. office, Ms. Gambhir litigates and resolves antitrust claims for corporate clients who have suffered financial harm as a result of antitrust violations. -Ms. Gambhir's wide-ranging experience allows her to uniquely advise and act for clients in all stages of litigation in matters around the world, and achieve wide-ranging innovative strategies and solutions. Ms. Gambhir also dedicates herself to the firm's international human rights practice, working to protect against human rights abuses across the globe.

Ms. Gambhir was recognized by The Legal Times and National Law Journal as of one D.C.s Rising Stars. This award recognizes the top 40-under-40 Washington D.C. lawyers across all disciplines whose legal accomplishments belie their age. And twice now for 2013 and 2015, the Profiles in Diversity Journal named Ms. Gambhir a Woman Worth Watching, an award held for selected women who have distinguished themselves in their career. In January 2015, Ms. Gambhir was also honored as a "Minority Business Leader," by the Washington Business Journal, an award that recognizes leaders that embody entrepreneurial drive, creativity and success in business. Ms. Gambhir was also elected in 2014 as a Fellow of the American Bar Foundation, an honor afforded to less than one-third of one percent of lawyers, judges and legal scholars whose careers have demonstrated outstanding dedication to the highest principles of the legal profession.

Ms. Gambhir currently represents clients in high stakes litigation against some of the largest banks in the world in the *In re Forex Exchange Benchmark Rates Antitrust Litigation*, 13-cv-07789 (S.D.N.Y.) and in *In Re LIBOR-Based Financial Instruments Antitrust Litigation*, MDL No. 2262, (S.D.N.Y.). Ms. Gambhir's recent achievements include, among other matters, representing U.S. and foreign businesses in *In Re Refrigerant Compressors Antitrust Litigation*, 2:09-md-02042 (E.D. Mich.) alleging price-fixing and reaching settlements of approximately \$50 million dollars. She also was one of the principle lawyers to secure settlements totaling almost \$100 million in an antitrust case involving the chemical industry (*In Re Hydrogen Peroxide Antitrust Litigation*, MDL No. 1682 (E.D. Pa.)).

Ms. Gambhir also dedicates herself to the private civil enforcement of competition law around the world. In connection with her UK partners, Ms. Gambhir represents clients, many of which are listed in Fortune Global 500 and Forbes Global 2000, in their individual damage claims seeking to recover losses as a result of price fixing cartels and other antitrust violations. These include purchasers of elevators, air freight services, Visa and MasterCard interchange fee services, and marine hose. Additionally, in the



marine hose matter, Ms. Gambhir recently concluded the negotiations for the final claims resulting from the first of its kind private global settlement with cartelist Parker ITR.

Ms. Gambhir is currently at the forefront of competition claims in South Africa, working with South African counsel in groundbreaking litigation on behalf of low-income bread consumers. This case resulted in a recent landmark ruling by the Supreme Court of Appeal (SCA) which determined for the first time the specific requirements for filing a collective action in South African courts.

Ms. Gambhir also dedicates herself to international human rights work. She currently represents residents of Bhopal, India who were exposed to toxic wastes, which have contaminated the soil and drinking water surrounding the infamous Union Carbide Plant, which was the site of the 1984 gas leak that killed and injured thousands of residents. Ms. Gambhir is also involved in litigation in both the UK and South Africa on behalf of South African gold miners who have suffered silicosis. Ms. Gambhir also assisted in the representation of the former "comfort women", women and girls who were forced into sexual slavery during World War II. In her pro bono work, among other cases, Ms. Gambhir has successfully represented individuals in United States Immigration Court in political asylum proceedings. Ms. Gambhir has been asked to speak on matters related to antitrust, private enforcement, and human rights across the world. She is also an appointed member to the ABA International Task Force's leadership and has previously been appointed to the ABA's International Cartel Task Force.

Education

- Boston College, English Literature, B.A., (cum laude) 1999
- University of Chicago, M.A., Humanities, 2000
- National Law Center, George Washington University, J.D., 2004 (with honors)

Bar Admissions

- Massachusetts
- District of Columbia

Affiliations & Honors

- Minority Business Leader for 2015, Washington Business Journal
- DC Rising Star for 2014, The National Law Journal.
- Elected Fellow, American Bar Foundation, 2014.
- Selected by *Diversity Journal* as one of their "Annual Women Worth Watching," 2015.
- Selected by *Diversity Journal* as one of their "Annual Women Worth Watching," 2013.
- Appointed Leadership, American Bar Association's Section of Antitrust International Task Force.
- International Human Rights Society
- South Asian Bar Association
- Women's Antitrust Plaintiffs Association



Judge Walter D. Kelley, Jr.

As a partner at Hausfeld, Judge Walter D. Kelley, Jr. focuses his practice on antitrust, commercial and financial services litigation and mediation services.

As a former United States District Judge for the Eastern District of Virginia and a recent partner at Jones Day, Judge Kelley brings unique insight to high stakes litigation. He has extensive experience representing both plaintiffs and defendants in disputes between businesses, particularly in the areas of antitrust, intellectual property and securities. As a lawyer, Judge Kelley has tried more than 25 jury cases to verdict. As a judge, he presided over thousands of cases encompassing all areas of the law and authored 50 published opinions.

In addition to maintaining an active trial docket, Judge Kelley serves as a mediator and arbitrator for business related disputes. Among other organizations, he serves on the International Trade Commission's panel of mediators for Section 337 (patent infringement) cases.

Judge Kelley is a graduate of Washington & Lee University School of Law. After law school, Judge Kelley clerked for the Honorable Ellsworth A. Van Graafeiland on the Second Circuit. Judge Kelley has been repeatedly listed in The Best Lawyers in America and Super Lawyers for business litigation, and was named in 2013 to Virginia Business Magazine's "Legal Elite" as a mediator and arbitrator.

Bonny E. Sweeney

Bonny Sweeney, a leading antitrust litigator, is a Partner in the San Francisco office of Hausfeld.

Ms. Sweeney has represented clients in some of the most significant antitrust cases in the United States in the last 20 years. She served as co-lead counsel in *In re Payment Card Interchange Fee and Merchant Discount Antitrust Litig.* (E.D.N.Y.), which resulted in a settlement of \$5.7 billion, likely the largest private antitrust class action settlement in history. Following the settlement, Judge John Gleeson of the Eastern District of New York applauded counsel's "skill and tenacity" in achieving an extraordinary result for their clients.

Ms. Sweeney, together with Hausfeld, also served as co-lead counsel in *In re Aftermarket Auto Lights Antitrust Litig.* (C.D. Cal.), which settled for more than \$50 million, and in which they obtained a landmark decision denying a leniency applicant's bid for reduced civil damages under the Antitrust Criminal Penalty Enhancement and Reform Act (ACPERA), because the defendant had not provided satisfactory or timely cooperation under the statute.



The Daily Journal recognized these legal achievements in May 2014, naming Ms. Sweeney as one of the Top Women Lawyers in its Annual List of 100 Leading Women Lawyers in California. Ms. Sweeney was also named as Litigator of the Week by Global Competition Review in October 2014 for successfully defeating Apple's bid for summary judgment in In re iPod iTunes Antitrust Litig. (N.D. Cal.). Ms. Sweeney was also lead trial counsel for the plaintiff class in In re iPod iTunes Antitrust Litig., which was tried to a jury in 2014.

Ms. Sweeney was also one of the trial lawyers in *Law v. NCAA/Hall v. NCAA/Schreiber v. NCAA* (D. Kan.), in which the jury awarded \$67 million to three classes of college coaches. She has participated in the successful prosecution and settlement of numerous other antitrust and unfair competition cases, including *In re Currency Conversion Fee Antitrust Litig.* (S.D.N.Y.), which settled for \$336 million.

Education

- Case Western Reserve University School of Law, J.D., 1988
- Cornell University, M.A., 1985
- Beijing Language Institute, Chinese Language Certificate, 1982
- Whittier College, B.A., 1981

Bar Admissions

- California
- Massachusetts
- Tenth Circuit Court of Appeals
- U.S. Supreme Court

Scott Martin

Scott Martin has played a major role in defending many of the largest antitrust class action cases of the last two decades and has negotiated resolutions of numerous regulatory investigations and actions on behalf of corporate clients. He has been consistently recognized for years as one of the leading antitrust litigators in New York and the country by every significant ranking organization, including *The Best Lawyers in America* (Antitrust Law and Litigation - Antitrust), *Chambers USA Guide*, *International Who's Who of Competition Lawyers and Economists and International Who's Who of Business Lawyers*, and Super Lawyers, among others.

Clients, colleagues, and adversaries have referred to Mr. Martin as a "terrifically talented and surefooted" litigator" and "an astute operator who always adds value to proceedings" while also serving as a "business-oriented lawyer who looks to see what the overall issues are and determines how best to approach the representation of those interests, including common sense approaches to exit strategies



where feasible."

Mr. Martin's practice extends to bench and jury trials in both federal and state courts, complex federal multidistrict actions, class actions involving direct and indirect purchasers, *parens partial* cases, FTC and DOJ investigations as well as other regulatory actions, and *qui tam* litigation. He also has two decades of counseling experience across a broad range of industries on pricing, distribution, competitive intelligence, joint ventures, and non-compete agreements, among other competition issues, and has designed antitrust compliance programs for some of the world's largest corporations.

Mr. Martin is a frequent lecturer and panelist who has also published practical advice on various antitrust topics. In addition to serving on the Editorial Board of *Antitrust Law Developments* and *Competition Law360*, he is a co-editor (along with Irving Scher of Hausfeld) of the forthcoming edition of the multi-volume treatise *Antitrust Adviser*. Mr. Martin is a member of the Executive Committee of the New York State Bar Association's Antitrust Section, and he has long been active in the leadership of the American Bar Association Section of Antitrust Law (including having serve as chair of the Trial Practice Committee and the Business Torts & Civil RICO Committee, among other positions).

Education

- Stanford University, A.B., 1987
- Stanford Law School, J.D., 1990

Bar Admissions

- New York
- District of Columbia

Irving Scher

Irv Scher's enthusiasm for and knowledge of competition law is unparalleled. He prides himself on developing a deep knowledge of his clients' businesses, enabling him to guide them to pragmatic and lawful business solutions. As a litigator, he advocates vigorously and impresses judges, co-counsel, and opponents with his legal and strategic acumen. He has been chosen to lead many times by his peers, both in litigation and in the antitrust bar.

Mr. Scher is considered an antitrust icon, with many decades of extensive experience in counseling and all phases of antitrust, marketing, and trade practice litigation and appeals, often as lead counsel in multi-party matters. He has been lead defense counsel in numerous class actions and FTC and government enforcement agency investigations across a wide range of industries, involving trade associations, buying groups, airlines, retailers and manufacturers of apparel, automobiles, automotive products, grocery and toiletry products, non-prescription drugs, electronic products, recorded music, and book and magazine publishers.



Mr. Scher has served for many years as an Adjunct Professor at NYU Law School, teaching an advanced antitrust course. He is a former Chair of both the American Bar Association (ABA) and New York State Bar Association (NYSBA) Antitrust Sections, a long-time member of the Advisory Board of the Bloomberg/BNA Antitrust & Trade Regulation Report, and was co-chair for more than 20 years of the annual Practicing Law Institute antitrust program. He regularly lectures on antitrust matters at ABA and NYSBA Antitrust Section meetings and conferences. Mr. Scher is editor and co-author of *Antitrust Adviser*, a Thomson West two-volume practical reference treatise, authors Living with the *Robinson-Patman Act*, a Bloomberg/BNA Corporate Practice Series Portfolio, and was a Vice Chair of the editorial board of the ABA's *Antitrust Law Developments* desk book.

Mr. Scher has been listed for many years in *Chambers Global*, Competition/Antitrust, and in *Chambers USA Guide*, where he was lauded as one of only five national antitrust "senior statesmen" in 2015. He also is listed in *The Best Lawyers in America*, Litigation, and in 2015 was named "Lawyer of the Year," Antitrust Law, New York City. He was the recipient of a World's Leading Competition Lawyer Award in the *Euromoney Expert Guide*, 2008, and is listed in *Super Lawyers* magazine, *NY Metro Super Lawyers* (2006-2015) and *International Who's Who of Business Lawyers*.

Education

- New York City College, B.A.
- Columbia Law School, J.D.

Bar Admissions

- U.S. Supreme Court
- Circuit Courts: First, Second, Third, Ninth, D.C.
- New York

Seth R. Gassman

Seth R. Gassman, Of Counsel with the firm, has nearly a decade of antitrust litigation and regulatory experience. He has represented clients in many different industries over the course of his career, including manufacturing, transportation, pharmaceuticals and health care industries.

Mr. Gassman currently specializes in representing victims of domestic and international anticompetitive conduct. Among his current cases, he is litigating *In re Rail Freight Fuel Surcharge Antitrust Litigation*, where Hausfeld serves as co-lead counsel representing a certified class of shippers who allege that the nation's largest freight-shipping railroads conspired to fix rail-freight fuel surcharges, and *In re New Jersey Tax Sale Certificates Antitrust Litigation*, where the firm serves as co-lead counsel representing a proposed class of New Jersey property owners who – as the result of an alleged bid-rigging



scheme that has already led to several criminal guilty pleas – either must pay inflated rates to redeem liens on their property or face foreclosure.

Mr. Gassman is also actively involved in litigating *In re Blood Reagents Antitrust Litigation*, where he represents a class of laboratories and other purchasers who allege that the two leading manufacturers of a critical component used in a number of tests performed to detect and identify certain properties of the cell and serum components of human blood fixed prices for nearly a decade, and *In re Polyurethane Foam Antitrust Litigation*, where he represents a proposed class of purchasers who allege that manufacturers conspired to inflate polyurethane foam prices.

Mr. Gassman is the co-author of "No Rest{itution} for the Weary: Crime Victims and Treble Damages in Antitrust Cases," *BNA's Antitrust and Trade Regulation Report*," November 18, 2011; "Antitrust Class Actions: Continued Vitality," *Global Competition Review, The Antitrust Review of the Americas*, 2008; and "Global Enforcement of Anticompetitive Conduct," presented in Florence, Italy at The Tenth Annual Sedona Conference on Antitrust Law & Litigation: The Globalization of Antitrust Enforcement, September 2008.

Mr. Gassman began his legal career at Cahill Gordon & Reindel LLP. While there, he focused on antitrust litigation and regulatory compliance. He received a J.D. from New York University School of Law, where he was the symposium editor of the *Journal of Legislation and Public Policy*. In the spring of 2003, he was awarded the Newman Prize for "Direct Democracy as Cultural Dispute Resolution: The Missing Egalitarianism of Cultural Entrenchment." Mr. Gassman earned a B.A., with honors, in English from the University of California at Berkeley.

He is a member of the New York State and District of Columbia bars, as well as the Southern and Eastern Districts of New York and the Eastern District of Michigan.

Articles & Speaking Engagements

- Jay L. Himes and Seth R. Gassman, "No Rest{itution} for the Weary: Crime Victims and Treble Damages in Antitrust Cases," BNA's Antitrust and Trade Regulation Report," November 18, 2011
- Michael Hausfeld, Steig Olson & Seth Gassman, "Antitrust Class Actions: Continued Vitality,"
 Global Competition Review, The Antitrust Review of the Americas, 2008
- "Global Enforcement of Anticompetitive Conduct," presented in Florence, Italy at The Tenth Annual Sedona Conference on Antitrust Law & Litigation: The Globalization of Antitrust Enforcement, September 2008
- Gassman, Seth. "Direct Democracy as Cultural Dispute Resolution: The Missing Egalitarianism of Cultural Entrenchment." 6 NYU Journal of Legislation and Public Policy 525 (2002-2003)



Education

- University of California at Berkeley, B.A., English, 1999 (with honors)
- New York University School of Law, J.D., 2003

Affiliations & Honors

- Senior Symposium Editor, New York University School of Law Journal of Legislation and Public Policy
- Newman Prize Recipient for article, "Direct Democracy as Cultural Dispute Resolution: The Missing Egalitarianism of Cultural Entrenchment"
- Commencement Speaker, University of California at Berkeley, Departmental Graduation

Bar Admissions

- District of Columbia
- State of New York
- Southern District of New York
- Eastern District of New York
- Eastern District of Michigan

Bruce J. Wecker

Mr. Wecker is Of Counsel to the firm. He has represented a variety of companies in patent and trade secret litigation against an array of companies in the computer hardware and software businesses. In patent cases, he has represented both the patentee and accused infringer. Cases include *Burst v. Microsoft*, alleging theft of trade secrets and breach of a non-disclosure agreement, as well as patent infringement and antitrust violations relating to streaming media; *Privasys, Inc. v. MasterCard International Inc.*, alleging patent, trade secret and contract claims for a start-up technology firm developing a electronic payment card with a programmable magnetic stripe; *Fullview v. Microsoft*, alleging infringement of 360° camera patents; *Apple, Inc. v. Burst.com*, alleging patent infringement based on features of the iPod and iTunes products; *BackWeb Technologies v. Microsoft*, alleging patent infringement for feature including in the Windows operating system; *BackWeb Technologies v. Symantec*, *Sybase*, alleging patent infringement for products to automatically update software for enterprise customers; *Implicit Networks v. Microsoft*, *HTC*, *Oracle*, *Sun*, *IBM*, *and others*, alleging patent infringement of application server, data processing and graphical user interface patents. *Cloakworks v. Cloakware*, alleging infringement of security software to protect computer code from unauthorized copying.

Mr. Wecker has also participated in high stakes antitrust litigation including seminal cases over several decades. In the seventies, he represented Kellogg Company in defending Federal Trade Commission charges of a "Shared Monopoly." In the eighties, he represented the forerunner of Sprint, in



antitrust actions against AT&T that paralleled the government action leading to the divestiture of the Bell System. In the nineties, he represented Billy Sullivan in his suit against the National Football League, to establish that its restriction on public ownership of teams violated the antitrust laws.

Prior to joining the firm, Mr. Wecker was a partner at Hosie Rice LLP, The Furth Firm and Furth, Fahrner & Mason where he worked on antitrust, intellectual property and a variety of class action cases.

Education

- University of California at Berkeley, B.A. in Economics, 1974
- University of Michigan, J.D., 1977

Bar Admissions

California

Speaking Engagements

• Speaker, Center for International Legal Studies Conference on Internet Distribution and Computer Law, "Representing Independent Software Vendors," 2005

Michael Schumacher

Michael Schumacher is Of Counsel with the firm and a member of the Financial Services Group. Mr. Schumacher's practice primarily focuses on representation of institutional investors, including Taft Hartley and public pension funds, in securities litigation, ERISA, and corporate governance matters.

Prior to joining Hausfeld, Mr. Schumacher worked with Bernstein Litowitz Berger and Grossmann LLP. He earned his Bachelor of Arts in Political Science from the University of California, Berkeley and his Juris Doctor degree from the University of Southern California Gould School of Law. He is admitted to the State Bar of California.

Affiliations

- Association of Benefit Administrators
- International Foundation of Employee Benefit Plans
- Labor and Employment Law Section of the State Bar of California
- Made in America Taft Hartley Benefit Fund Summit, past participant
- National Coordinating Committee for Multi-Employer Plans, past participant
- Southern California Association of Benefit Plan Administrators

Frederick T. Kuykendall III



Rick Kuykendall is Of Counsel to the firm. Prior to joining Hausfeld, Mr. Kuykendall began practicing law over thirty years ago in Birmingham, Alabama with a successful union side, labor law firm, Cooper, Mitch, Crawford and Kuykendall. He presided over the firm's evolution into a successful and powerful plaintiff/public interest law firm with a core mission of helping those who needed it the most, despite the odds.

Mr. Kuykendall has extensive experience in all areas of complex litigation, including product liability, mass tort, and environmental litigation. Mr. Kuykendall is a Martindale-Hubbell AV Preeminent-rated lawyer and has been listed in Best Lawyers in America. Over the course of his career, he has represented clients in every type of court, from municipal court to the Supreme Court of the United States, and has served as lead or co-lead counsel in cases with verdicts and settlements totaling more than \$1 billion.

Most recently, Mr. Kuykendall has represented businesses, individuals, and public entities (spanning Alabama, Mississippi, Louisiana, and Texas) for damages arising out of the Deepwater Horizon oil catastrophe in the Gulf of Mexico. Among other matters, Mr. Kuykendall is also representing dialysis patients nationwide who have been injured by a concentrated chemical compound used in dialysis procedures. He is also leading the firm's efforts to pursue redress in tribal courts for Native Americans in this and other matters.

In addition to Mr. Kuykendall's litigation experience, he has served as a court-appointed special master in one of the largest environmental cases in Alabama, involving Monsanto.

Mr. Kuykendall has long been active in public affairs and political matters as well. Having worked on Capitol Hill for several years, he has had a lifelong interest in politics at both the state and federal level. He has served on the board of directors of numerous prominent non-profit organizations, such as the Surfrider Foundation, the Pensacola Florida Riverkeepers, and The Lawyers' Committee for Civil Rights under Law, and is a longstanding member of the AFL-CIO's Lawyers Coordinating Committee. Additionally, he has served as a contributing editor of the Developing Labor Law (BNA Bloomberg) and been elected to the Alabama State Bar's Board of Bar Commissioners. A frequent lecturer and commentator on legal matters, he was this year's keynote speaker at Georgia State University's Earth Week festivities.

Mr. Kuykendall graduated from University of Alabama, where he received his B.A. He received his law degree from Cumberland School of Law at Samford University.

Bar Admissions

- United States Supreme Court
- Alabama
- U.S. District Court, Northern and Southern Districts of Alabama
- U.S. Court of Appeals, First, Third, Fifth and Eleven Circuits



Sathya S. Gosselin

Sathya Gosselin is a partner in the Antitrust/Competition, Securities and Financial Services, and Sports and Entertainment practice groups. He serves on the firm's Pro Bono and Business Development committees as well.

Mr. Gosselin has considerable litigation experience across a range of industries. Representative engagements include:

- In re Rail Freight Fuel Surcharge Antitrust Litig. (D.D.C.), in which shippers nationwide seek damages for alleged price-fixing of rail-freight fuel surcharges by the nation's largest railroads.
- In re NCAA Student-Athlete Name & Likeness Licensing Litig. (N.D. Cal.), in which current and former men's Division I basketball and football players seek damages and injunctive relief for the use of their names, images, and likenesses in broadcast and videogame instances.
- *In re Polyurethane Foam Antitrust Litig. (N.D. Ohio)*, in which foam purchasers seek redress for alleged price-fixing among the nation's largest manufacturers.
- MTB Investment Partners LP v. Siemens Hearing Instruments Inc. (D.N.J.) in which a group of HearUSA stockholders allege various securities violations designed to depress the price of HearUSA's common stock.

Mr. Gosselin also shares the firm's commitment to pro bono legal services. He recently obtained asylum for a Nepali political activist who fled the country after violent persecution for his pro-democracy political activities.

Mr. Gosselin graduated from Vassar College, where he received a B.A. in Religion (cum laude in materia subjecta, 1999). He received his law degree from Cornell Law School, where he was Symposium Editor of the Cornell Law Review and an extern with the Texas Civil Rights Project.

Prior to joining the firm, Mr. Gosselin was a staff law clerk at the United States Court of Appeals for the Seventh Circuit (2007-2009) and Deputy Director of the ACLU of Texas's Prison & Jail Accountability Project (2003-2004).

Articles & Speaking Engagements

- Michael D. Hausfeld, Brent W. Landau, Sathya S. Gosselin, "CAT-astrophe: The Failure of "Follow-On" Actions." American Bar Association's International Cartel Workshop, February 2012
- Hausfeld LLP and Milberg LLP, *E-Discovery Today: The Fault Lies Not In Our Rules...*, 4 FED. CTs. LAW REV. 2 (2011)



- Panelist, Cornell Law School, "Your Small Firm Career," Oct. 18, 2010
- Michael D. Hausfeld and Sathya S. Gosselin "Parents, Be Aware of the Behavior of Your Children": Intra-Enterprise Accountability and Extraterritorial Jurisdiction in the European Union, 2010 ABA/IBA International Cartel Workshop, Feb. 10-12, 2010
- Michael D. Hausfeld, Steig D. Olson & Sathya S. Gosselin, The United States Heightens Plaintiff's Burden of Proof on Class Certification: A Response, Global Competition Litig. Rev., Volume 2 Issue 4/2009

Education

- Vassar College, B.A., Religion, 1999 (with honors)
- Cornell Law School, J.D., 2007

Affiliations & Honors

- Young Lawyer Representative, Transportation and Energy Industries Committee, Section of Antitrust Law, American Bar Association 2013-2014
- Member, Section of Antitrust Law, American Bar Association
- Symposium Editor, Cornell Law Review

Bar Admissions

- California
- District of Columbia Bar

Melinda R. Coolidge

As one of two attorneys principally responsible for evaluating antitrust cases and recommending a course of action to the firm, Ms. Coolidge is prepared to assist clients with an efficient analysis of their circumstances. She enjoys combining the big picture thinking needed to devise creative solutions for clients, and the everyday management of big-ticket litigation.

As a partner in the Washington, D.C. office, Ms. Coolidge works primarily in the antitrust field, but also has significant experience in mass tort/product liability and consumer cases on behalf of plaintiffs. She has played a key role representing freight forwarders and other direct purchasers of air cargo shipping services against a worldwide price-fixing cartel in the *In re Air Cargo Antitrust Litigation*, in which her clients and the class have recovered nearly \$850 million in settlements. In 2013, Ms. Coolidge served on the trial team for the plaintiff class at trial in the *In re Vitamin C Antitrust Litigation*, which secured a trebled jury verdict of \$162 million against Chinese vitamin C manufacturer defendants.



And as the primary brief writer on liability issues in the firm's *In re Prempro Products Liability Litigation*, Ms. Coolidge represented dozens of women in a mass tort action against Pfizer and Wyeth over their hormone replacement therapy and links to breast cancer. In 2014, she successfully obtained a favorable settlement for a victim of sexual assault on a college campus, and has drafted model guidelines for universities seeking to improve the process by which they investigate and adjudicate such cases to ensure a swift and fair resolution.

In 2015, *The Legal 500*, which provides comprehensive worldwide coverage on legal services, noted that Ms. Coolidge is a 'rising star,' in recommendation of Ms. Coolidge in the Antitrust-Cartel Enforcement category. In 2014, she was selected for the *Super Lawyers*, Washington DC Rising Stars list, and was named by the U.S. Chamber of Commerce as a player driving effective "big ticket" class action litigation on behalf of consumers. In 2008, she assisted former FTC Commissioner Robert Pitofsky in publication of his casebook, *Trade Regulation, and Where the Chicago School Overshot the Mark*, his book on the impact on conservative economic analysis on antitrust law.

Ms. Coolidge has been active member of the ABA's Antitrust Section and Civil Redress Committee. Ms. Coolidge graduated *cum laude* from Georgetown University Law Center, where she served as an editor of the *Georgetown Journal of Gender and the Law*, and assisted low-income tenants in Washington, D.C. to retain and purchase housing as a member of a student clinic. Before going to law school, she worked at Public Citizen, a national consumer advocacy organization.

Education

- Tufts University, International Relations and French, B.A., 2003 (magna cum laude)
- Georgetown University Law Center, J.D., 2008 (*cum laude*)

Bar Admissions

- Maryland
- District of Columbia
- United States District Court for the District of Columbia

Timothy S. Kearns

Timothy S. Kearns recognizes that most cases are won or lost before they are even filed. His commitment to honesty helps clients accurately assess their options before initiating a lawsuit and his tenacity and dedication to securing a result, through appeal if necessary, help to ensure exceptional outcomes for his clients.



Mr. Kearns' practice focuses on securities litigation, commodities litigation, commercial litigation, and antitrust. Mr. Kearns is the primary attorney in charge of identifying and investigating potential securities matters and supervises Hausfeld's portfolio monitoring program. His matters include:

- MTB Investment Partners, LP v. Siemens Hearing Instruments, Inc., in which Mr. Kearns overcame a motion to dismiss on behalf of a class of HearUSA, Inc. shareholders alleging securities violations aimed at driving down the price of HearUSA's common stock;
- *In re Foreign Exchange Benchmark Rates Antitrust Litigation*, in which plaintiffs allege collusion among international banks to artificially rig the WM/Reuters benchmark rates;
- Precision Associates, Inc. v. Panalpina World Transport (Holding) Ltd., in which Mr. Kearns represents two defendants against allegations of antitrust violations within the global freight forwarding industry.

Mr. Kearns, who was named a Rising Star in Securities Litigation by *SuperLawyers* magazine in 2014, graduated *cum laude* from Cornell Law School in 2006. He is the author of *The Chair, the Needle, and the Damage Done: What the Electric Chair and the Rebirth of the Method-of-Execution Challenge Could Mean for the Future of the Eighth Amendment,* which was published by the Cornell Journal of Law and Public Policy in 2006.

Education

- Iowa State University, B.A., 2003
- Cornell Law School, J.D., 2006 (cum laude)

Bar Admissions

- Delaware
- District Court of Columbia
- U.S. District Court for the District of Delwaware
- U.S. District Court for the Eastern District of Wisconsin

Jeannine M. Kenney

Jeannine M. Kenney is an associate at the firm focusing on antitrust, human rights, and mass tort litigation.

Ms. Kenney serves as court-appointed Plaintiffs' Liaison Counsel in *In re National Football League Players' Concussion Injury Litigation*, MDL 2323 (E.D. Pa.), multi-district litigation consolidating lawsuits brought by several thousand retired NFL players who suffer from long-term or permanent neurological or cognitive impairments as a result of head injuries they incurred during NFL play, and who allege the League hid from them the serious risks of repeated head trauma. She also serves



as co-counsel for retired NFL players in *Boyd v. NFL*, No. 12-cv-92 (E.D. Pa.); *Stabler v. NFL*, No. 12-cv-4186 (E.D. Pa.); *Chambers v. NFL* (No. 12-cv-7153); and *Spikes v. NFL*, No. 12-cv-5606 (E.D. Pa.) which are pending before the MDL Court.

Ms. Kenney is also counsel for Plaintiffs in *In re South African Apartheid Litigation*, MDL 1499, alleging that multi-national corporations aided and abetted the commission of crimes against humanity by the security forces of the apartheid regime; *In re Processed Egg Products Antitrust Litigation*, MDL 2002 (E.D. Pa.), alleging egg producers and trade groups conspired to restrict the domestic supply of eggs and egg products; and *In re Fresh and Process Potatoes Antitrust Litigation*, MDL 2186 (D. Idaho), alleging potato growers and their trade groups conspired to restrict the domestic supply of potatoes.

Ms. Kenney joined the firm in 2009. From 2010 to 2011, she clerked for the Honorable Cynthia M. Rufe, United States District Court Judge, Eastern District of Pennsylvania. While attending the Georgetown University Law Center, Ms. Kenney was a member of the *Georgetown Law Journal*, and was enrolled in the Center's widely-respected Appellate Litigation Clinic, receiving the International Academy of Trial Lawyers' Student Advocacy Award for her work on *Lytes v. DC Water and Sewer Authority*, No. 08-7002 (D.C. Cir. 2009), which she argued before the Federal Court of Appeals for the D.C. Circuit. Before obtaining her *Juris Doctor*, Ms. Kenney worked for many years in government affairs, including as a Senior Policy Analyst for Consumers Union, publisher of Consumer Reports, where she advocated for consumer interests before Congress and federal agencies and was widely quoted in the media on consumer issues; as Vice President of Domestic Affairs and Communications Director for the National Cooperative Business Association, representing consumer, producer, and purchasing cooperatives; and as a legislative assistant on agriculture and food security for two United States Senators.

Education

- University of Wisconsin-Madison, B.A. Political Science & Economics (with distinction), 1988
- Georgetown University Law Center, J.D., 2009 (magna cum laude, Order of the Coif)

Bar Admissions

- Commonwealth of Pennsylvania
- District of Columbia

Swathi Bojedla

Swathi Bojedla joined Hausfeld in 2011, where her career has spanned a wide range of practice areas, focusing most notably on the Sports & Entertainment, Antitrust, and Mass Torts practice areas. From initial case investigations through trial, she has represented the firm's clients in all aspects of litigation. Her work has encompassed some of the highest-profile class action sports cases in recent years, and she has been involved in the recovery of over \$200 million in settlement awards on behalf of the



firm's clients.

Ms. Bojedla was initially drawn to Hausfeld's leadership in cutting edge litigation that advances the public interest and promotes a level playing field. As an avid sports fan, she has relished the opportunity to represent current and former athletes as they seek fair treatment for the dedication they put into the game. In *Dryer et al. v. National Football League*, she represented a class of retired NFL players whose names, images, and likenesses were being used in NFL Films features. She was involved in negotiating a \$50 million settlement agreement, which created a Greater Good Fund to provide health and welfare programs to former NFL players and also established a licensing agency, in partnership with IMG, to help former players market their names, images, and likenesses. She continues to advise the Court-appointed Board of Directors on implementation of the settlement agreement. In *In re NCAA Student-Athlete Name and Likeness Licensing Litigation*, Ms. Bojedla represented a class action on behalf of current and former Division I men's basketball and FBS football players against the NCAA and its member institutions based on rules foreclosing athletes from receiving compensation for the use of their names, images, and likenesses. In June, plaintiffs completed a three-week bench trial in which Swathi was part of a trial team that successfully obtained class injunctive relief allowing college athletes to receive compensation for their NIL rights, a landmark victory for college athletes.

Outside of the sports realm, Ms. Bojedla has litigated a variety of other cases across the legal spectrum, securing favorable results for her clients. In 2013, she participated in a mass tort action arising from the placement of unnecessary stents in patients at a Baltimore-area hospital, which culminated in a month-long jury trial in Maryland state court and a global resolution compensating over 240 affected patients. In *In re Municipal Derivatives Litigation*, Ms. Bojedla worked as part of a team that has secured over \$150 million in settlements to date for a class of municipalities affected by alleged bid-rigging in the market for municipal bonds.

Prior to her arrival at Hausfeld, Ms. Bojedla spent time at Georgetown Law's Institute for Public Representation, a civil rights clinic, where she worked on Title VII litigation in the D.C. District Court. She maintains this interest at Hausfeld, where she currently represents several female employees alleging sexual harassment against their former supervisor and employer, a San Francisco venture capitalist firm, in a case set for trial in California state court in November 2014. She has also worked on several presidential campaigns and in the U.S. Senate, both for Senator Hillary Rodham Clinton and as a law clerk to the U.S. Senate Judiciary Committee.

Swathi attended law school at Georgetown University, where she was Managing Editor for the *Georgetown Journal of Law and Public Policy*. Prior to Georgetown, she graduated from Brown University with a B.A. in Human Biology and Public Policy. She remains actively involved in the Brown University alumni community, serving as the D.C. Area Co-Chair for the school's admissions interviewing program.

Education



- Brown University, B.A., Human Biology & Public Policy, 2007
- Georgetown University Law Center, J.D., 2011

Bar Admissions

- New York
- Washington, DC
- District Court for the District of Columbia
- District Court for the Southern District of New York

Publications

- Michael Hausfeld and Swathi Bojedla, *The NFLPA's Potential Legal Liability to Former Players for Traumatic Brain Injury*, Concussions Litigation Reporter, Vol. 1, No. 1 (2012)
- Swathi Bojedla, *Is Major League Baseball's Antitrust Exemption in Jeopardy?*, ABA Antitrust Section Media & Technology E-Bulletin, Vol. 1, Iss. 3 (2013)

Nathaniel C. Giddings

Nathaniel C. Giddings is an associate at Hausfeld, where he focuses on antitrust, consumer, and financial services law. Through Ms. Giddings' representation of clients in myriad types of litigation – from copyright to antitrust and financial fraud cases – he has developed the wide-ranging expertise and the flexibility necessary to achieve favorable results for his clients. In 2014, Super Lawyers recognized Nathaniel as a rising star in the Washington, D.C. bar.

Mr. Giddings is currently working on several matters, including *In re Foreign Exchange Benchmark Antitrust Litigation*, 13-Civ-7789, which alleges a conspiracy between the world's largest banks to manipulate the WM/Reuters Closing Spot Rates, *In re Libor-Based Financial Instruments Antitrust Litigation*, MDL 2262, which alleges a conspiracy to suppress the London Inter-Bank Offered Rate by member banks on the U.S.-dollar LIBOR panel, and *MTB Investment Partners, LP v. Siemens Hearing Instruments*, which alleges a fraudulent scheme to drive down the price of HearUSA common stock in violation of federal securities laws. He is also involved in *Carlton Douglas Ridenhour* ("*Chuck D*") *v. UMG Recordings, Inc.*, alleging the underpayment of royalties owed to recording artists for digital downloads, and *Johnson's Village Pharmacy, Inc. and Russell's Mr. Discount Drugs, Inc. v. King Pharmaceuticals, Inc.*, alleging the unlawful exclusion of generic competition for the pharmaceutical drug Skelaxin (Metaxalone).

Prior to joining the firm, Nathaniel held positions with the USDA: Office of the General Counsel's Conservation and Environment and Marketing Divisions. He has also worked at the Wayne County Prosecutor's Office in Detroit, Michigan.



Education

- Michigan State University, James Madison College of Public Affairs, B.A., Political Theory and Constitutional Democracy, 2008
- The George Washington University Law School, J.D., 2011

Bar Admissions

- Illinois
- Northern District of Illinois
- District of Columbia

Affiliations and Honors

- Super Lawyers Rising Star- Washington, DC, Antitrust Litigation (2014)
- Illinois State Bar Association
- American Bar Association
 - Section of Antitrust Law
 - Young Lawyers Division
- Senior Production Editor, George Washington Journal of Energy and Environmental Law (2010-2011)

Publications

- Nathaniel C. Giddings, Note, Go Offshore Young Man! The Categorical Exclusion Solution to Offshore Wind Farm Development on the Outer Continental Shelf, 2 GEO. WASH. J. ENERGY & ENVTL. L. 75 (2011)
- Nathaniel C. Giddings & Laurie Ristino, Proposal: A Uniform Act for Wind Rights, 8 A.B.A.
 SEC. ENV'T ENERGY & RESOURCES NEWSL. 1 (2011)

Kristen Ward Broz

Whether litigating a class action case or a complex mass tort, Ms. Broz, as an associate at Hausfeld, strives to develop creative litigation strategies and case management solutions to successfully advocate for her clients. Her broad range of litigation skills and experience cover all stages of complex litigation—from initial case investigation, through filing, discovery, substantive merits briefing, trial, and appeal. Though her practice is primarily focused in the areas of mass tort and consumer protection, Ms. Broz has significant experience litigating human rights, antitrust, financial services, and intellectual property cases.

As Of Counsel to the Plaintiffs Steering Committee ("PSC") in *In re Chinese-Manufactured Drywall Products Liability Litigation*, Ms. Broz has been instrumental in achieving multiple class action



and aggregate settlements on behalf of her clients and class members who were injured by reactive Chinese drywall in their homes. She is one of the lead attorneys responsible for overseeing allocation of a \$17.4 million settlement fund for class members with Venture Supply drywall. Further, as an integral part of the PSC's efforts to obtain a class wide damages judgment against Chinese manufacturer defendants, Ms. Broz recently served on a small trial team, which put forward evidence of approximately \$500 Million in remediation damages to class members before the Honorable Judge Eldon Fallon of the Eastern District of Louisiana. Ms. Broz was one of the main brief writers tasked by the PSC with drafting substantive pre-trial and post-trial motions.

As part of the team of attorneys leading the *In re Honey Transshipping Litigation*, which alleges that the defendants engaged in a fraudulent scheme to unlawfully import Chinese-origin honey, Ms. Broz has played a critical role in developing litigation strategy, crafting legal arguments, and successfully negotiating a complex settlement. Additionally, the innovative Lanham Act claims pleaded in Plaintiffs' Class Action Complaint recently survived a motion to dismiss.

Since 2012, Ms. Broz has also been involved in MDL litigation against the Bank of New York Mellon, alleging damages to the firm's client, Stationary Engineers Local 39 Pension Trust Fund and a class of consumers resulting from defendants' practice of deceptively assigning fictitious foreign currency exchange rates to class members' purchases and sales of foreign securities. On April 23, 2015, the Honorable Judge Lewis A. Kaplan of the Southern District of New York granted preliminary approval to a settlement totaling \$335 million on behalf of the consumer class.

Ms. Broz's other representative matters include: *In re South African Apartheid Litigation*, alleging that defendant multi-national corporations aided and abetted the commission of crimes against humanity by the security forces of the apartheid regime, *In re Fresenius Granuflo/Naturalyte Dialysate Products Liability Litigation*, alleging that Plaintiffs suffered injury or death from the use of GranuFlo and/or NaturaLyte products during hemodialysis, and litigation against Trinity Industries for allegedly selling dangerously defective guardrail end terminals to states for installation on United States highways.

In 2015, Ms. Broz was named to the Super Lawyers Washington D.C. Rising Star list for the field of Class Action / Mass Tort litigation. She is one of the primary attorneys responsible for investigating potential mass tort litigation for the firm and is active in several professional organizations, including the American Association of Justice, American Bar Association, and Women en Mass. For the last four years, she has served as administrator for the Mid-Atlantic Regional Rounds of the Philip C. Jessup Competition, run by the International Law Students Association.

While in law school, Ms. Broz served as a research assistant to Professors Sonia Suter and Jerome Baron at The George Washington University Law School. Prior to joining Hausfeld, Ms. Broz worked for over seven years at Kaplan Test Prep and Admissions as a teacher, teacher trainer, and Academic Coordinator.

Education



- University of Virginia, B.A. English and History, 2006
- The George Washington University Law School, J.D., cum laude, 2011

Bar Admissions

- District of Columbia
- Virginia
- Eastern District of Virginia
- Second Circuit Court of Appeals

Affiliations and Honors

- Super Lawyers Rising Star, Class Action/Mass Tort (2015)
- Adjunct Professor, Capitol College (Intellectual Property Law, Business Law, Law & the Internet) (2011 present)
- Mid-Atlantic Regional Administrator, *Philip C. Jessup International Law Moot Court Competition* (2011 present)
- Recipient of Judge Albert H. Grenadier Award for Excellence in Oral Advocacy
- *The George Washington International Law Review* (2009 2011)
- Competition
- Finalist, Grenadier International Law Moot Court Competition (2010)
- Member: Moot Court Board, Mock Trial Board, Alternative Dispute Resolution Board

Publications

- Michael Hausfeld and Kristen Ward Broz, The Business of American Courts in Kiobel, JURIST -Sidebar, October 2, 2012
- Mindy B. Pava and Kristen Ward Broz, The Sixteenth FCC Mobile Wireless Competition Report, AMERICAN BAR ASSOCIATION ANTITRUST SECTION MEDIA & TECHNOLOGY E-BULLETIN (May 2013) at 3
- Kristen M. Ward, *Telecommunications Law*, guide to international legal research, ch. 23 (Geo. Wash. Int'l Law Rev. ed., Matthew Bender 2011)
- Kristen M. Ward, Book Review of Tricia D. Olsen, Leigh A. Payne and Andrew G. Reiter, *Transitional Justice in Balance: Comparing Processes, Weighing Efficacy* (United States Institute of the Peace Press, 2010) Volume 43.1 of the *Geo. Wash. Int'l Law Rev*

Gary I. Smith

Gary I. Smith, Jr. joined the firm in 2013 as an associate. Mr. Smith focuses his practice on antitrust litigation.



Presently, Mr. Smith's representative matters include:

- Adriana M. Castro, et al. v. Sanofi Pasteur Inc., No. 2:11-cv-07178 (JLL) (MAH)
 (D.N.J.), in which a proposed class of meningococcal vaccine purchasers allege that
 Sanofi Pasteur Inc. monopolized the meningococcal vaccine market and artificially
 inflated vaccine prices by bundling purchases of its meningococcal vaccine with
 purchases of its broader line of related vaccines;
- Schuylkill Health System v. Cardinal Health, Inc., et al., No. 2:12-cv-07065-JS (E.D. Pa.), in which a proposed class of acute care hospitals allege that the two dominant distributors of medical and surgical supplies excluded rival distributors from the suture and endomechanical product markets and artificially inflated prices by bundling purchases of their sutures and endomechanical products with purchases of their broader lines of medical-surgical supplies; and

Mr. Smith graduated from the University of Arizona in May of 2008, where he received a B.S.B.A. in Business Economics, and from Arizona State University in May of 2011, where he received his J.D. While in law school, Mr. Smith worked as a research assistant to Visiting Associate Professor of Law Amandeep S. Grewal, received honors as a Willard H. Pedrick Scholar, and externed with the Securities and Exchange Commission in Washington, DC.

Prior to joining the firm, Mr. Smith practiced law at a boutique commercial litigation firm in Phoenix, Arizona. There, he represented plaintiffs and defendants in a wide array of trial and appellate matters in both federal and state court, notably trying his first case to a jury – resulting in a favorable and unanimous verdict for his client – within his first six months as a licensed attorney. Mr. Smith is not admitted in Pennsylvania.

Stephanie M. Berger

Stephanie M. Berger joined the firm in 2014 as an associate. Ms. Berger focuses her practice on antitrust litigation.

Presently, Ms. Berger's representative matters include:

- *In re Polyurethane Foam Antitrust Litigation* (N.D. Ohio), where domestic purchasers are seeking redress for defendants' alleged price-fixing of polyurethane foam prices;
- *In re NFL Concussion Injury Litigation*, a class action seeking to obtain medical monitoring and damages for retired NFL players who were exposed to unsafe working conditions.



Ms. Berger graduated from Harvard Law School, where she served as an editor of the Harvard Journal of Law & Gender, and assisted in mediating disputes regarding special education plans and in helping veterans and people with disabilities obtain state and federal benefits through student clinics. While in law school, Ms. Berger also interned at the Department of Justice in the Civil Rights Division and Children's Rights, an organization working to reform failed child welfare systems. Before going to law school, she worked at the Empire State Pride Agenda, a New York state-based LGBT rights advocacy organization. Ms. Berger is admitted in New York.

Stephanie Y. Cho

Stephanie Y. Cho joined the firm in 2014 as an associate. Ms. Cho is based out of the firm's San Francisco office, and focuses her practice on antitrust litigation.

Presently, Ms. Cho represents corporate clients in commercial litigation in both A.H.R.E., Inc. v. Hankook Jungsoo Industrial Co., Ltd. (E.D.Va.) and CAP Co., Ltd. v. Microsoft Corporation (N.D.Cal.). She also represents a class of direct purchasers in the In Re: Korean Ramen Antitrust Litigation, In Re: Cathode Ray Tube Antitrust Litigation, and the In Re: Optical Disk Drive Products Antitrust Litigation.

Ms. Cho is a graduate of Cornell Law School, where she served as an editor of the Cornell Journal of Law and Public Policy, and participated in the Cornell Labor Law Clinic. After law school, Ms. Cho worked as a judicial law clerk to the Honorable William P. Johnson, United States District Court for the District of New Mexico, where she gained exposure to a variety of civil and criminal matters. Among others, Ms. Cho handled dispositive motions concerning jurisdictional issues, Title VII, ERISA, APA and the Endangered Species Act, and Section 1983. Ms. Cho is fluent in written and spoken Korean. She is admitted in California.

ANNEX 3 Hausfeld, London & Brussels

Hausfeld is a leading litigation practice, based in both London and Brussels. We specialise in competition (antitrust) litigation, complex commercial litigation, financial services disputes, consumer and human rights law, often with an international dimension. The firm looks to achieve the best possible results for clients through a practical and commercial approach, avoiding litigation where feasible, yet litigating robustly when necessary. We have extensive experience of alternative litigation funding structures thereby allowing clients maximum flexibility in terms of managing their exposure to costs risk. As a claimant practice, we are also typically "conflict-free", enabling us to take on matters such as claims against financial institutions or banks that other City law firms are often conflicted from acting on.



In appropriate cases, our team works closely with Hausfeld's U.S. offices, located in Washington D.C., San Francisco, New York and Philadelphia. This collaborative approach enables clients to benefit from the extensive knowledge and expertise of both teams. Our international capability, combined with close ties to other leading law firms in Europe, gives us the edge in tackling cross-jurisdictional disputes and negotiating global settlements.

Primary Practice Areas

Competition (Antitrust) Litigation Complex Commercial Litigation Securities and Financial Services Litigation Consumer Protection Civil and Human Rights Sports and Entertainment

Examples of current cases

Air Cargo

The Cartel

The European Commission (EC) announced on 9 November 2010 its decision to fine 11 air cargo carriers a total of €799,445,000 for operating a worldwide cartel which affected cargo services within the European Economic area (EEA). Several known airlines are among the 11 undertakings fined, namely Air Canada, Air France-KLM, British Airways, Cathay Pacific, Cargolux, Japan Airlines, LAN Chile, Martinair, SAS, Singapore Airlines and Qantas. The cartel members coordinated various elements of price for a period of over six years, from December 1999 to 14 February 2006. The cartel arrangements consisted of numerous contacts between airlines, at both bilateral and multilateral level, covering flights from, to and within the EEA. There are other investigations concerning the cartel on record in the US, Canada, Australia, New Zealand, South Korea and South Africa. These foreign investigations have led to yet more fines being imposed on the 13 airlines implicated in the EC decision as well as on 12 additional airlines. Foreign investigations are ongoing.

Our Actions

Hausfeld commenced proceedings against BA in the High Court in London on 18 September 2008 on behalf of two UK flower importers who are direct and indirect purchasers of air freight services, the prices for which were inflated by the air cargo cartel between December 1999 and 14 February 2006 (the Emerald Action). Since then almost 300 claimants have been added to the Emerald Action, with many more now preparing to be added to the claim. Among them are companies listed in the Fortune



Global 500 and Forbes Global 2000, as well as both Business Week and Interbrand 100 Best Global Brand.

The Emerald Action was commenced against BA on the basis of joint and several liability: that is on the basis that BA are liable for the whole loss arising from the cartel and not just the harm to their own customers. Consequently, BA has now added to the Emerald Action all of the co-cartelists which were named in the EC's decision. BA's move made the High Court in London the natural hub of all EU litigation in respect of the air cargo cartel as it is the first court seized of the matter. Proceedings are at present temporarily stayed pending the publication of the EC decision. Once it is published the Emerald Action will be able to proceed on a strong footing, as the EC decision is binding on the Court insofar as it relates to the named defendant air cargo carriers.

Candle Wax

The Cartel

In October 2008, the European Commission found that wax manufacturers from 9 corporate groups representing over 75% of the market had participated in illegal price fixing and market allocation for sales of paraffin wax and slack wax for periods between 1992 and 2005. The cartelists included companies from ExxonMobil, ENI, H&R/Tudapetrol, MOL, Repsol, RWE, Sasol, Shell and Total.

Our Actions

Proceedings were commenced against companies from the Shell and Exxon groups in the High Court in London in July 2009. On the principle that cartelists are jointly and severally liable for losses arising from the cartel, our clients seek to recover all their losses from Shell and Exxon without need to claim directly against the remaining members of the cartel. Contribution proceedings were commenced by Shell in November 2009 in which they claim a contribution to any judgment from all other participants in the cartel. Proceedings against both Shell and Exxon are currently stayed at the joint request of the parties.

Carbon Graphite

Hausfeld, together with Spanish law firm Cuatrecasas Gonçalves Pereira, are acting for a number of European rail companies against a number of defendants: Morgan Crucible Company plc; Schunk GmbH; SGL Carbon AG; Mersen SA (formerly Le Carbone Lorraine SA); and Hoffman & Co. Elektrokohle AG, further to their involvement in the Carbon Graphite Cartel. The Cartel affected the European market, worth around €290 million a year, over an eleven year period.

The Cartel



In December 2003 the European Commission imposed fines totaling €01m on five corporate groups for operating a cartel which affected the market for electrical and mechanical carbon and graphite products throughout Europe between 1988 and 1999. A sixth company, Morgan Crucible, also participated in the cartel, but received immunity from fines for being the first to denounce the illegal behaviour to the Commission. The infringement decision by the Commission forms the basis of this action against the cartelists, because in follow-on damages actions before national courts, a Commission decision is binding proof that the anti-competitive behaviour took place and was illegal.

Our Actions

Proceedings were brought in the UK Competition Appeal Tribunal in 2010. Morgan Crucible applied to have the claim struck out on the basis of a limitation argument. This issue is currently before the Court of Appeal with a decision expected imminently.

Marine Hose

We are acting for an extensive group of purchasers of marine hoses, including some of the biggest oil companies in the world, to recover losses from a global cartel which inflated the price of marine hoses. Marine hoses are specialist oil industry products used to load sweet or processed crude oil and other petroleum products from offshore facilities (for example buoys, floating production storage and offloading systems) onto vessels and to offload them back to offshore or onshore facilities (for example buoys or jetties).

The Cartel

In 2009, the European Commission found that a number of manufacturers of marine hoses (Bridgestone Corporation, Bridgestone Industrial Ltd, The Yokohama Rubber Company Limited, Dunlop Oil & Marine Limited (including ContiTech AG andContinental AG) Trelleborg Industrie SAS, Trelleborg AB, Parker ITR Srl, Parker Hannifin Corporation, and Manuli Rubber Industries SpA) had conspired between at least 1986 and 2008 to allocate tenders, fix prices, fix quotas, fix sales conditions, share geographic markets and exchange sensitive information on prices, sales volumes and procurement tenders.

Our Actions

Proceedings were commenced against Dunlop Oil & Marine Limited in the London High Court in July 2009 by Waha Oil Company seeking damages. Waha subsequently commenced further actions against Trelleborg and Bridgestone for recovery of damages in December 2010. Previously, in 2009 Hausfeld announced a groundbreaking global settlement agreement with Parker ITR regarding its involvement in the marine hose cartel. The settlement allows any purchaser of marine hose from Parker ITR anywhere in the world, other than direct purchasers of marine hose in US commerce, to claim



compensation in respect of losses arising from the cartel, irrespective of where they reside or where the marine hose was purchased from.

Legal expenses insurance and funding

The EU estimates that the actions of illegal cartels cost businesses €2-3bn every year. Companies that suffer losses from cartel price-fixing and other anti-competitive practices deserve compensation but are sometimes deterred from action by the costs of litigation. To overcome this obstacle, Hausfeld works with insurers and third party litigation funders to develop innovative funding mechanisms that minimise the financial risks of seeking compensation.

- The firm helps put in place "After the Event" litigation insurance cover to protect claimants against the risk of having to pay the defendant's costs if the claim was not successful. Claimants are liable for the insurance premium only at the end of the case and where it is successful.
- Hausfeld. is prepared to provide its services on a conditional "success only" basis whereby the firm is only paid its legal fees if the claim succeeds.
- Options are also available to arrange for third party funders to assist in funding the legal expenses of bringing a claim such as the costs of expert economists.
- This combination provides a unique opportunity for victims of cartels to seek compensation without the usual costs and cost risks of litigation.

The firm focuses on cartel cases where a competition authority or court has already found the cartelists to be liable or is highly likely to do so. The firm uses this decision as the basis for "follow on" claims by claimants either individually or on a group basis in national courts in the EU; these courts must apply the decision and find the cartelists liable for the infringement.

Hausfeld works with expert economists and forensic accountants to establish that the cartelists' illegal behavior caused losses to claimants and to quantify that loss. The firm will only advises clients to proceed with a claim that its attorneys think has good prospects of success and where they believe that the insurance in place is sufficient to cover the risks that may arise in bringing the claim.

Hausfeld-London & Brussels Attorneys

Anthony Maton

Anthony Maton is Managing Partner of Hausfeld in London and Partner in Brussels, specialising in competition and financial services litigation. He has extensive experience of complex international



dispute resolution including litigation, arbitration and mediation in a number of different jurisdictions. He has acted for Governments, in regulatory investigations, for multinationals and for private business, and has worked in the USA and extensively throughout Europe, the Middle East and the Gulf.

Mr. Maton is a Solicitor with over 15 years experience, having been a Partner in McGrigors and an Associate at Slaughter & May. During this time, he worked in connection with many of the City's financial services scandals (BCCI, Barings, the Co-op and AIG).

He is a member of the Chartered Institute of Arbitrators (having arbitrated under many rules including the LCIA, ICC and LME), an accredited Mediator and former Secretary and present Committee Member of the London Solicitors Litigation Association.

His recent experience includes acting in the Air Passenger settlement against BA/Virgin, acting against BA in the London arm of the global air cargo cartel litigation being run by Hausfeld, developing the Cartel Key funding methodology for cartel claims in the London Court and acting on the Parker Settlement in the Marine Hose cartel.

He has a first class Honours Degree in Modern History from the University of Oxford and has regularly spoken at conferences and seminars both in the UK and abroad.

Laurent Geelhand

Laurent Geelhand is Managing Partner of Hausfeld in Brussels and Partner in London, and is one of the pioneers of antitrust private enforcement in Europe. Prior to joining Hausfeld, Mr. Geelhand was the European General Counsel of Michelin, where he turned Michelin's European legal department into a profit center by systematically pursuing actions against suppliers and securing multi-million euros settlements arising from the rubber chemicals, synthetic rubber and paraffin wax cartels using innovative litigation techniques and funding schemes. While at Michelin, he also devised a highly regarded and successful antitrust compliance program using innovative communication techniques.

For years, Mr. Geelhand has been at the forefront of private enforcement in Europe having been involved in many landmark antitrust cases. Mr. Geelhand has represented Michelin in the Michelin II abuse of dominance case, Boeing before the European Commission in the Boeing/McDonnell Douglas merger control case and Klaus Jacobs in the Belgian Barry/Callebaut merger control case, as well as Coca-Cola Enterprises in abuse of dominance litigation. Mr. Geelhand is also widely recognized as an authority in European antitrust law and cross-border litigation, listed among the stars of the in-house antitrust bar by Global Competition Review, and is a regular speaker at leading conferences worldwide such as the ABA and French Supreme Court and frequently interviewed by the Financial Times and Business Week.



Mr Geelhand attended the Université Libre de Bruxelles, Law School, and University of Washington Law School.

Lianne Craig

Lianne Craig is a Partner at Hausfeld in London. She advises on complex dispute resolution across a range of sectors, with particular expertise in corporate and commercial disputes and financial services. Much of her caseload is international or multi-jurisdictional in nature, with her experience spanning cases which have gone to full trial, to applications for injunctive or other interlocutory relief, usually in the Chancery Division or Commercial Court of the High Court in England and Wales. She is also experienced in arbitration and mediation.

Ms. Craig has acted for multinational corporations, SMEs, financial institutions, private equity and hedge fund investors and private individuals. She was involved in a number of heavyweight cases arising from the collapse of Lehman Brothers and has advised on a wide range of litigation matters including shareholder disputes (including warranty claims); general commercial disputes arising out of issues such as breach of contract, misrepresentation and breach of fiduciary duty; bondholder disputes; claims arising out of loan, structured finance and derivatives transactions; and cases involving involving large scale fraud and mis-selling of investments. Ms Craig routinely provides clients with advice and assistance in relation to the enforcement of foreign judgments and arbitral awards as well as obtaining evidence for use in foreign proceedings.

Since joining Hausfeld in 2010, Ms. Craig has been heavily engaged in a number of follow-on damages claims for breaches of competition law.

Prior to joining the firm, Ms. Craig was a Senior Associate at Weil, Gotshal & Manges, before which she trained and qualified at Clifford Chance LLP.

Ms. Craig has a strong commitment to human rights and to pro bono work. She has worked pro bono for clients ranging from private individuals to UK charities and international NGOs.

She is a graduate of the University of Aberdeen (First Class LLB (Hons) with Belgian Law) and is fluent in Spanish, as well as speaking French to an advanced level.

Nicola Boyle



Nicola Boyle is a partner at Hausfeld in London. She joined the firm in October 2009 and is currently working on a number of competition, consumer and financial service complaints, seeking recovery on behalf of both businesses and individuals.

Ms. Boyle previously worked in the dispute resolution team at McGrigors. She has represented multi-nationals, private businesses, and individual claimants in a wide range of complex disputes, including product liability, professional negligence and a number of disputes in the financial services and energy sectors, . She is experienced in and a keen proponent of methods of alternative disputes resolution, having successfully resolved a number of complex multi-party disputes outside of the courts. She has also advised on a number of regulatory investigations and judicial review proceedings.

Ms Boyle holds an LLB (Hons) and LLM in European and Environmental law, and previously taught on the undergraduate course in European law at the University of Birmingham.

Andrew B. Bullion

Andrew Bullion is a Partner at Hausfeld in London. He previously spent a number of years acting as a Partner on secondment in Europe for Hausfeld's sister firm in Washington, DC. Andrew has extensive complex litigation experience representing both plaintiffs and defendants, from client retention and counseling, case inception, discovery and motion practice, through trial and post-trial work. Prior to joining the firm, Andrew spent several years as a litigator in private practice in Philadelphia, handling complex commercial matters, including antitrust, tort and intellectual property litigation. During law school, Andrew clerked at the United States Federal Trade Commission's Bureau of Competition under Director William J. Baer and Chairman Robert Pitofksy. Andrew also worked for Advokatfirman Vinge KB, Sweden's largest law firm.

Andrew currently represents major European corporations in large-scale international antitrust litigation. These matters include before United States federal courts, the High Court in London, England, the Competition Appeals Tribunal in London, and the Court of Justice of Rotterdam, the Netherlands. Among these corporate clients are well known names in many industries, including retailers, automotive manufacturers, several national railways, and include several members of the Forbes Global 500 and Global Brand Top 150. Andrew also is currently defending one of the world's top freight forwarders against allegations of price-fixing, in a complex litigation brought in the Eastern District of New York against nearly 60 defendants.

Additionally, Andrew provides antitrust consulting to several multinational companies and associations. Andrew also co-drafted the internal litigation policy of one of the world's largest retailers and co-authored commentary to the US Department of Justice Legal Policy Section and the US Federal Trade Commission on private enforcement following Section 2 Sherman Act infringements in unlawful monopolisation and abuse of dominant position.



Andrew is fluent in the Swedish language, is licensed to practice as an attorney in Washington, DC, Pennsylvania and New Jersey in the United States and as a solicitor in the courts of England and Wales, and is a member of AIJA (Association Internationale des Jeunes Avocats – International Association of Young Lawyers) and the American Bar Association's Antitrust Section. Andrew is a regular speaker before bar groups, trade associations and business groups on antitrust and cartel issues.

Ed Coulson

Ed Coulson is a Partner at Hausfeld in London. He advises on complex competition and commercial litigation. He has experience both of settling disputes by negotiation and mediation as well as conducting multi-party litigation before the High Court and Court of Appeal.

Mr. Coulson's focus is the firm's competition litigation practice and he has significant experience in this area. He had a lead role acting for National Grid in its high profile and influential litigation in the English court against members of the Gas Insulated Switchgear cartel, which alongside Hausfeld's Air Cargo claim has been described by a number of publications as one of the biggest cartel damages actions in Europe.

Mr. Coulson has advised on disputes in a number of sectors in the UK and internationally, particularly in the Regulated Utilities, Automotive, Manufacturing and Hotels sectors.

A graduate in Modern Languages (French and Italian) from Oxford University, Mr. Coulson went on to BPP Law School and then to King's College London where he obtained a Postgraduate Diploma in EU Competition Law. Mr. Coulson is also qualified as a Solicitor Advocate.

Prior to joining Hausfeld, Mr. Coulson was a Senior Associate at Berwin Leighton Paisner, where he also trained and qualified.

Ingrid Gubbay

Ingrid Gubbay is a senior lawyer based at the London European office of Hausfeld. Ingrid practices competition litigation, and leads the London Consumer and Human Rights law practices, acting and advising a number of international NGO's and charities, in actions including, on climate justice, the BskyB/Newscorp bid, and Indigenous land disputes with corporations. Prior to joining the firm, she was an Associate at the UK Financial Services Authority and later principal legal advisor of campaigns, at the largest Consumer Association in Europe, *Which*?



During her time at *Which?*, Ingrid worked closely with EU and UK regulators and enforcers, and conducted litigation under new collective action statutory powers including the UK's first competition representative action for damages on behalf of consumers: *JJB Sports v Consumer Association* (1078/7/9/07).

Ingrid has had a distinguished background in practice in Australia, where she worked with Australian Indigenous communities in setting up legal outreach offices and advocacy, and was head of consumer litigation for the Legal Aid Commission (NSW), running test cases and collective actions. In 2009, on the 30th anniversary of the ("LAC"), her small team were awarded 'most outstanding achievement in 30 years' for a flood insurance case involving thousands of declined claimants against 8 major insurers, which ultimately led to the appointment of the Australian Insurance Ombudsman.

Ingrid has written and presented on private enforcement for damages in competition/antitrust law, and lectured at the University of New South Wales, Australia, and the University of Essex, in tort, administrative and international human rights law. She has worked in China as part of a select EU delegation of human rights 'experts', and in 2004, she was consultant on the landmark UK Court of Appeal case of "B" & ORS V FCO on the question of whether and in what circumstances the Human Rights Act 1998, British Diplomatic and Consular officials are to afford diplomatic protection to fugitives whose fundamental rights are under threat.

She is a member of the British Institute of International and Comparative law (BIICL) and was an appointed member of the comparative law group of the UK Civil Justice Council (working on collective actions) from 2006-2009 and is a member of the UK solicitors international human rights group (SIHG).

Lesley Hannah

Lesley Hannah joined Hausfeld's London office as an Associate in May 2013. Lesley has a strong European and Competition law background and her principal focus is competition damages litigation. Lesley currently represents Deutsche Bahn and Metro de Madrid in their damages claim in relation to the carbon and graphite products cartel as well as a large number of claimants in the damages claim against British Airways in relation to the worldwide cartel in air freight surcharges.

Prior to joining Hausfeld Lesley was a member of Freshfields Bruckhaus Deringer LLP's competition litigation team primarily representing clients in competition damages actions in both the Competition Appeal Tribunal and the English High Court and investigations by the European Commission and the Office of Fair Trading. Lesley also represented a number of multi-national corporations in complex commercial litigation in the English High Court.



Lesley has an honours degree in Law and French Law from the University of Aberdeen and is a graduate of the College of Europe in Bruges, Belgium. Lesley also holds her Higher Rights of Audience qualification. Lesley is fluent in French and German.

Tom Bolster

Tom Bolster is an associate at Hausfeld in London. He joined the firm in September 2011 and is currently assisting on a number of competition law matters, seeking recovery on behalf of both businesses and individuals. Tom focuses on competition and financial services litigation in England & Wales on behalf of claimants.

Tom previously worked at Hogan Lovells International LLP and trained at Baker & McKenzie. During this time he represented multi-nationals and individuals in a wide range of complex disputes in the construction, insurance and manufacturing sectors. He is experienced in methods of alternative dispute resolution and has successfully negotiated and settled commercial disputes outside of the courts.

Tom has a strong commitment to human rights and refugee law. He acted for UNHCR in its intervention before the domestic and EU courts in the case of *Saeedi v Secretary of State for the Home Department*.

He is a graduate of King's College London (First Class LLB (Hons) in English & French Law) and Université Paris 1, Panthéon-Sorbonne (Maitrise en Droit). Tom is fluent in French, and speaks German to an advanced level.

David Lawne

David Lawne joined Hausfeld in London as an associate in September 2011. David is currently working on a number of competition and financial services disputes.

David has experience acting on a wide range of international and domestic commercial disputes, including injunctive relief. In 2009 he worked as a Judicial Assistant to a High Court judge for a term of court, working on a complex conspiracy trial. In addition to his commercial experience, he has worked on a number of pro bono projects, including a placement as a legal assistant to the Ombudsman of Belize.

David graduated from the University of Warwick (First Class Hons) and trained at Dechert LLP. He speaks fluent Spanish.



Wessen Jazrawi

Wessen Jazrawi is an associate at Hausfeld in London. Wessen joined the firm in July 2012 and is currently assisting on a number of competition and human rights matters. In particular, she is currently advising a group of retailers seeking damages for unlawful interchange fees against MasterCard in proceedings before the High Court. She is also acting for an extensive group of purchasers of marine hoses to recover losses from a global cartel which inflated the price of marine hoses.

In the human rights sphere, she is acting for a group of South African miners against Anglo American, whose negligence led them to contract a variety of debilitating and often fatal lung diseases, including silicosis, silico-tuberculosis and tuberculosis. She is also advising a coalition of NGOs as to the possibilities for legal action for human rights violations caused by a dam in northern Turkey.

Prior to joining the firm, Wessen was an associate at Orrick, Herrington & Sutcliffe (Europe) LLP where she trained and qualified and, prior to joining Orrick, an associate at the Financial Services Authority. She holds an LLB (Hons) from the University of Kent at Canterbury and an LLM in International Human Rights Law (Distinction) from the University of Essex. She has worked with several human rights organisations, including the European Human Rights Advocacy Centre and REDRESS. She is a member of the Solicitors International Human Rights Group (SIHRG) and speaks French to an advanced level.

Simon Latham

Simon Latham is an associate at Hausfeld in London. Simon joined the firm in April 2014, having been seconded to Hausfeld for over a year during his training contract. He currently represents clients in a range of competition and financial services disputes, across several jurisdictions.

Simon adopts a particularly commercial approach, having worked in-house in both the retail and media sectors as part of his training. He has represented several European corporations in actions before the Chancery and Queen's Bench Divisions of the English High Court (including the Commercial Court) as well as the Competition Appeal Tribunal. In addition, Simon has coordinated competition litigation claims in other European jurisdictions including the Netherlands.

Simon graduated with a BSc (Hons) in Environmental Biology from the University of Nottingham. He later obtained an LLB (Hons) in Law from the University of Hertfordshire, where he was awarded the Oxford University Press law prize. He also holds an LLM in Intellectual Property Law



(Merit) from King's College London.

Hausfeld is the market leading specialist litigation firm in the area of competition law in Europe. Hausfeld are recognised for its pioneering work in competition litigation, in particular bringing damages actions on behalf of companies that have suffered losses as a result of cartel conduct. Hausfeld regularly acts for some of the world's largest organisations.

Private enforcement of competition law has become a key policy objective both at European and UK level. Hausfeld have been at the forefront of its development. Despite the limitations in the current legislative and procedural framework, Hausfeld have consistently delivered innovative strategies that enable corporates to join together and pursue damages actions for the recovery of substantial sums. Hausfeld are involved in some of the largest and most complex litigation matters before the courts both in the UK and Europe, and have brought more competition damages actions than any other firm in Europe. Hausfeld also bring an innovative and truly flexible approach to engagement structures, which was recognised by the Financial Times in naming them as the Most Innovative Law Firm in Dispute Resolution for 2013. Hausfeld are able to offer clients cost and risk free funding models, that allow them to pursue claims without financial risk. In allowing companies to run litigation in this way, Hausfeld have helped many of their clients turn in-house legal departments into revenue generators.



ANNEX 4 Recent Publications

- "Bundling Claims Under Section 1 of the Sherman Act: Focusing on Firms' Abilities to Create Anticompetitive Effects in a Market, Rather Than Their Share of It," By Brent Landau and Gary Smith. *Antitrust Health Care Chronicle*, Volume 28/No. 1, ABA Section of Antitrust Law, January 2015.
- "Antitrust Class Proceedings Then and Now," By Michael Hausfeld, Sathya Gosselin, Michael Lehmann, Gordon Rausser and Gareth Macartney. *Research in Law and Economics*, Volume 26, 2014.
- "The LIBOR Scandal and Calls for Regulation." By Lianne Craig and Gurpreet Chhokar. *ABA Section of International Law, Europe Update,* Issue 3, February 2013
- "The Business of American Courts in Kiobel v. Royal Dutch Petroleum." By Michael Hausfeld and Kristen Ward. *Jurist Sidebar*, October 2012
- "Prosecuting Class Actions and Group Litigation." By Michael Hausfeld and Brian Ratner, et al., World Class Actions, Ch. 26., September 2012
- "Private Enforcement of Antitrust Law in the United States, A Handbook Chapter 4: Initiation of a Private Claim." By Michael Hausfeld and Brent Landau, et al., 2012
- "The Importance of Private Competition Enforcement in Europe." By Michael D. Hausfeld. *Competition Law International*, Vol. 8, Issue 2, August 2012
- "The NFLPA's Potential Legal Liability to Former Players for Traumatic Brain Injury." By Michael D. Hausfeld and Swathi Bojedla. *Hackney Publications: Concussion Litigation Reporter*, Vol. 1, No. 1, July 2012
- "CAT-astrophe: The Failure of "Follow-On" Actions." By Michael D. Hausfeld, Brent W. Landau, Sathya S. Gosselin. American Bar Association's International Cartel Workshop, February 2012
- "The Novelty of *Wal-Mart v. Dukes.*" By Brian A. Ratner and Sathya S. Gosselin. *Business Torts & RICO News*, American Bar Association, Business Torts & Civil RICO Committee, Vol. 8, Issue 1, Fall 2011.
- "Private Enforcement in Competition Law: An Overview of Developments in Law and Practice in the US and Europe." By Michael D. Hausfeld and Ingrid Gubbay, Bergamo University, July 2011
- "The Contingency Phobia Fear without Foundation." By Michael D. Hausfeld, Michael Lehmann, Spencer Jenkins & Nick Morgan. *Global Competition Litigation Review.* 2011.



- "Twombly and Antitrust Class Action Plaintiffs." By Michael Lehmann. Law360. January 27, 2011.
- "E-Discovery Today: The Fault Lies Not In Our Rules." William Butterfield, Megan Jones, Hilary Scherrer, Ralph Bunche, Melinda Coolidge, Faris Ghareeb & Sathya Gosselin (with co-authors from Milberg LLP). 2011 FED. CTS. L. REV. 4. February 2011.
- "Has *Hydrogen Peroxide* Really Made Antitrust Class Certification More Difficult?" By Brent W. Landau. *The Antitrust Practitioner*, ABA Section of Antitrust Law Civil Practice and Procedure Committee, Vol. 7, Oct. 2010.
- "The Rise of Public Cartel Enforcement and the Seeds of Potential Decline." By Michael D. Hausfeld (and L. Geelhand). *International Competition Law Forum*, Volume 12. Current Developments in European and International Competition Law: 17th St. Gallen International Competition Law Forum ICF 2010.
- "Giving Electronic Discovery a Chance to Grow Up." By Megan E. Jones. *The National Law Journal*. December 14, 2009.
- "Liberalizing Rule 27 in the Twombly/Iqbal Era." By James J. Pizzirusso. Law360. November 11, 2009.
- "Twombly, Iqbal And the Prisoner's Pleading Dilemma." By Michael D. Hausfeld, Michael P. Lehmann, and Spencer Jenkins. *Law360*. October 20, 2009.
- "Competition Law Claims: A Developing Story." By Michael D. Hausfeld and Vincent Smith. *European Antitrust Review*. September 2009.
- "Global Enforcement of Anticompetitive Conduct." By Michael D. Hausfeld. *The Sedona Conference Journal*. Fall 2009.
- "Observations from the Field: ACPERA's First Five Years." By Michael D. Hausfeld, Michael P. Lehmann, and Megan E. Jones. *The Sedona Conference Journal*. Fall 2009.
- "The Value of ACPERA." By Michael D. Hausfeld. CompetitionLaw 360. June 2009.
- "Response to EU Commission Green Paper on Consumer Collective Redress." By Ingrid Gubbay and Vincent Smith. February 2009.
- "Private Enforcement Claims: Are They a Risk for Consumers and Businesses?" By Ingrid Gubbay and Anthony Maton. Competition Law Insight. January 2009.
- "Collective Redress for Competition Law Claims." By Michael D. Hausfeld and Vincent Smith. *European Antitrust Review*. September 2008.



"Managing Multi-District Litigation." By Michael D. Hausfeld and Michael P. Lehmann. *Antitrust Review of the Americas*. September 2008.

"A Victim's Culture." By Michael D. Hausfeld and Andrea Hertzfeld. *European Business Law Review*. December 2007.

Links to many of the publications above can be found at http://www.hausfeld.com/news



ANNEX 5 Hausfeld Offices

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For more information about the firm please visit www.hausfeld.com

EXHIBIT 2

Case3:07-cv-05944-SC Document4055-9 Filed09/11/15. Page93 of 95 In re Cathode Ray Tube (CRT) Antitrust Litigation

HAUSFELD LLP

Reported Hours and Lodestar May 9, 2008 through July 31, 2014

| NAME | TOTAL HOURS | HOURLY RATE | LODESTAR | |
|----------------------------|----------------|----------------|----------------|--|
| ATTORNEYS | | | | |
| Arthur N. Bailey, Jr. (P) | 0.50 | \$365 | \$182.50 | |
| Michael D. Hausfeld (P) | 0.30 | \$865 | \$259.50 | |
| Megan E. Jones (P) | 4.50 | \$575 | \$2,587.50 | |
| Brent W. Landau (P) | 0.40 | \$550 | \$220.00 | |
| Christopher L. Lebsock (P) | 3.00 | \$450 | \$1,350.00 | |
| Christopher L. Lebsock (P) | 11.40 | \$520 | \$5,928.00 | |
| Christopher L. Lebsock (P) | 105.00 | \$575 | \$60,375.00 | |
| Christopher L. Lebsock (P) | 259.50 | \$650 | \$168,675.00 | |
| Christopher L. Lebsock (P) | 37.70 | \$660 | \$24,882.00 | |
| Christopher L. Lebsock (P) | 0.70 | \$670 | \$469.00 | |
| Michael P. Lehmann (P) | 2.50 | \$695 | \$1,737.50 | |
| Michael P. Lehmann (P) | 262.10 | \$800 | \$209,680.00 | |
| Michael P. Lehmann (P) | 503.60 | \$880 | \$443,168.00 | |
| Michael P. Lehmann (P) | 327.10 | \$925 | \$302,567.50 | |
| Michael P. Lehmann (P) | 156.00 | \$930 | \$145,080.00 | |
| Michael P. Lehmann (P) | 2.00 | \$935 | \$1,870.00 | |
| Brian A. Ratner (P) | 0.50 | \$610 | \$305.00 | |
| Bruce Wecker (OC) | 1.00 | \$880 | \$880.00 | |
| Swathi Bojedla (A) | 308.60 | \$325 | \$100,295.00 | |
| Swathi Bojedla (A) | 100.80 | \$350 | \$35,280.00 | |
| | NON ATTORN | ATEN 7G | | |
| NON-ATTORNEYS | | | | |
| Diane Bone (PL) | 0.25 | \$215 | \$53.75 | |
| Diane Bone (PL) | 30.30 | \$275 | \$8,332.50 | |
| Candice Elder (PL) | 46.90 | \$275 | \$12,897.50 | |
| Candice Elder (PL) | 20.30 | \$290 | \$5,887.00 | |
| Candice Elder (PL) | 10.90 | \$300 | \$3,270.00 | |
| Fiona McDonald (PL) | 1.50 | \$250 | \$375.00 | |
| Fiona McDonald (PL) | 6.00 | \$275 | \$1,650.00 | |
| James Mitchell (PL) | 19.10 | \$275 | \$5,252.50 | |
| James Mitchell (PL) | 1.50 | \$300 | \$450.00 | |
| Pejmon Pashai (PL) | 3.00 | \$290 | \$870.00 | |
| Kristina Stubbs (PL) | 0.90 | \$275 | \$247.50 | |
| Caitlin Dwelley (LC) | 11.30 | \$250 | \$2,825.00 | |
| Sebnem Kimyacioglu (LC) | 15.60 | \$250 | \$3,900.00 | |
| TOTAL: 2,254.75 | | | \$1,551,802.25 | |

(P) Partner

(OC) Of Counsel

(A) Associate

(PL) Paralegal

(LC) Law Clerk

EXHIBIT 3

In re Cathode Ray Tube (CRT) Antitrust Litigation HAUSFELD LLP

Reported Expenses Incurred on Behalf of DPPs Reported Inception through July 31, 2014

| CATEGORY | AMOUNT INCURRED |
|---|--------------------|
| Court Fees (filing, etc.) | 13.72 |
| Experts/Consultants | |
| Federal Express | 208.31 |
| Transcripts (Hearing, Deposition, etc.) | 1,802.81 |
| Computer Research | 6,265.13 |
| Messenger Delivery | |
| Photocopies – In House | 300.15 |
| Photocopies – Outside | 94.20 |
| Postage | |
| Service of Process | |
| Telephone/Telecopier | 100.64 |
| Travel (Airfare, Ground Travel, Meals, Lodging, etc.) | 13,583.30 |
| | |
| TOTAL: | \$22,368.26 |